



STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

**NOTICE TO BIDDERS  
AND  
SPECIAL PROVISIONS**

**FOR CONSTRUCTION ON STATE HIGHWAY IN SAN JOAQUIN COUNTY AT  
VARIOUS LOCATIONS**

**In District 10 On Route 5, 132, 580**

**Under**

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*Bid book dated November 26, 2012*

*Standard Specifications dated 2010*

*Project plans approved August 27, 2012*

*Standard Plans dated 2010*

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Identified by

Contract No. 10-0K3304

10-SJ-5, 132, 580-Var

Project ID 1000000085

Federal-Aid Project

ACNH-X077(019)E

**Electronic Advertising Contract**

**Bids open Wednesday, December 19, 2012**

**Dated November 26, 2012**



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# SPECIAL NOTICES

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- For federal-aid projects, the Department is modifying its DBE program.

# CONTRACT NO. 10-0K3304

The special provisions contained herein  
have been prepared by or under the  
direction of the following Registered Persons.

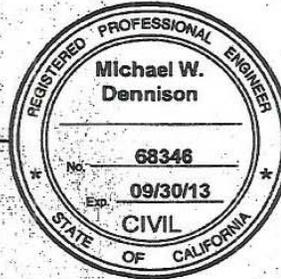
LANDSCAPE

  
LICENSED LANDSCAPE ARCHITECT



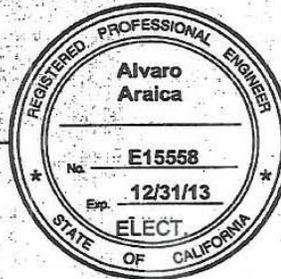
HIGHWAYS

  
REGISTERED CIVIL ENGINEER



ELECTRICAL

  
REGISTERED ELECTRICAL ENGINEER



TRAFFIC

  
REGISTERED CIVIL ENGINEER



# TABLE OF CONTENTS

NOTICE TO BIDDERS .....	1
COPY OF BID ITEM LIST .....	3
DIVISION I GENERAL PROVISIONS .....	5
1 GENERAL.....	5
5 CONTROL OF WORK.....	5
6 CONTROL OF MATERIALS.....	6
7 LEGAL RELATIONS AND RESPONSIBILITY TO THE PUBLIC.....	6
DIVISION II GENERAL CONSTRUCTION .....	6
12 TEMPORARY TRAFFIC CONTROL.....	6
14 ENVIRONMENTAL STEWARDSHIP.....	26
15 EXISTING FACILITIES.....	28
DIVISION III GRADING.....	28
20 LANDSCAPE.....	28
DIVISION VI STRUCTURES.....	29
49 PILING.....	29
DIVISION IX TRAFFIC CONTROL FACILITIES .....	32
83 RAILINGS AND BARRIERS.....	32
86 ELECTRICAL SYSTEMS .....	33
REVISED STANDARD SPECIFICATIONS APPLICABLE TO THE 2010 EDITION OF THE STANDARD SPECIFICATIONS.....	51

# STANDARD PLANS LIST

The standard plan sheets applicable to this Contract include those listed below. The applicable revised standard plans (RSPs) listed below are included in the project plans.

A10A	Abbreviations (Sheet 1 of 2)
A10B	Abbreviations (Sheet 2 of 2)
A10C	Lines and Symbols (Sheet 1 of 3)
A10D	Lines and Symbols (Sheet 2 of 3)
A10E	Lines and Symbols (Sheet 3 of 3)
A73A	Object Markers
A73C	Delineators, Channelizers and Barricades
A77A2	Metal Beam Guard Railing - Standard Railing Section (Steel Post with Notched Wood or Notched Recycled Plastic Block)
A77B1	Metal Beam Guard Railing - Standard Hardware
A77C2	Metal Beam Guard Railing - Steel Post and Notched Wood Block Details
A77C3	Metal Beam Guard Railing - Typical Line Post Embedment and Hinge Point Offset Details
A77C4	Metal Beam Guard Railing - Typical Railing Delineation and Dike Positioning Details
A77G3	Metal Beam Guard Railing - Typical Layouts for Roadside Fixed Objects
A77H1	Metal Railing - End Anchor Assembly (Type SFT)
A77H2	Metal Railing - Rail Tensioning Assembly
A77H3	Metal Railing - Anchor Cable and Anchor Plate Details
A87B	Hot Mix Asphalt Dikes
T1A	Temporary Crash Cushion, Sand Filled (Unidirectional)
T1B	Temporary Crash Cushion, Sand Filled (Bidirectional)
T2	Temporary Crash Cushion, Sand Filled (Shoulder Installations)
T3A	Temporary Railing (Type K)
T3B	Temporary Railing (Type K)
T10	Traffic Control System for Lane Closure On Freeways and Expressways
T10A	Traffic Control System for Lane and Complete Closures on Freeways and Expressways
T13	Traffic Control System for Lane Closure on Two Lane Conventional Highways
T14	Traffic Control System for Ramp Closure
T59	Temporary Water Pollution Control Details (Temporary Concrete Washout Facility)
T61	Temporary Water Pollution Control Details (Temporary Drainage Inlet Protection)
T62	Temporary Water Pollution Control Details (Temporary Drainage Inlet Protection)

T63	Temporary Water Pollution Control Details (Temporary Drainage Inlet Protection)
T64	Temporary Water Pollution Control Details (Temporary Drainage Inlet Protection)
T65	Temporary Water Pollution Control Details [Temporary Fence (Type ESA)]
RS1	Roadside Signs, Typical Installation Details No. 1
RS2	Roadside Signs - Wood Post, Typical Installation Details No. 2
RS4	Roadside Signs, Typical Installation Details No. 4
S89	Roadside Sign - Formed Single Sheet Aluminum Panel
S93	Framing Details for Framed Single Sheet Aluminum Signs, Rectangular Shape
S94	Roadside Framed Single Sheet Aluminum Signs, Rectangular Shape
S95	Roadside Single Sheet Aluminum Signs, Diamond Shape
ES-1A	Electrical Systems (Legend, Notes and Abbreviations)
ES-1B	Electrical Systems (Legend, Notes and Abbreviations)
ES-1C	Electrical Systems (Legend, Notes and Abbreviations)
ES-2A	Electrical Systems (Service Equipment)
ES-2C	Electrical Systems (Service Equipment Notes, Type III Series)
ES-2D	Electrical Systems (Service Equipment Enclosure and Typical Wiring Diagram, Type III - A Series)
ES-2F	Electrical Systems (Service Equipment Enclosure and Typical Wiring Diagram Type III - C Series)
ES-3C	Electrical Systems (Controller Cabinet Foundation Details)
ES-3F	Electrical Systems (Telephone Demarcation Cabinet, Type C)
ES-5A	Electrical Systems (Detectors)
ES-5B	Electrical Systems (Detectors)
ES-5D	Electrical Systems (Curb Termination and Handhole)
ES-7M	Electrical Systems (Signal and Lighting Standard - Detail No. 1)
RSP ES-8A	Electrical Systems (Pull Box)
ES-11	Electrical Systems (Foundation Installations)
ES-13A	Electrical Systems (Splicing Details)
ES-16D	Electrical Systems (Closed Circuit Television with Vehicle Detection System, 30' to 40' Pole)

## **CANCELED STANDARD PLANS LIST**

The standard plan sheets listed below are canceled and not applicable to this contract.

B3-1	Canceled on April 20, 2012
B3-2	Canceled on April 20, 2012
B3-3	Canceled on April 20, 2012
B3-4	Canceled on April 20, 2012
B3-7	Canceled on April 20, 2012
B3-8	Canceled on April 20, 2012
ES-8	Canceled on January 20, 2012
ES-10	Canceled on July 20, 2012

# NOTICE TO BIDDERS

Bids open Wednesday, December 19, 2012

Dated November 26, 2012

General work description: Install traffic monitoring stations (loop detectors) on freeway ramps.

The Department will receive sealed bids for CONSTRUCTION ON STATE HIGHWAY IN SAN JOAQUIN COUNTY AT VARIOUS LOCATIONS.

District-County-Route-Post Mile: 10-SJ-5, 132, 580-Var

Contract No. 10-0K3304

The Contractor must have either a Class A license or one of the following Class C licenses: C-10.

The DBE Contract goal is 7 percent.

Federal-aid project no.:

ACNH-X077(019)E

Bids must be on a unit price basis.

Complete the work within 150 working days.

The estimated cost of the project is \$1,630,000.

No prebid meeting is scheduled for this project.

The Department will receive bids until 2:00 p.m. on the bid open date at 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816. Bids received after this time will not be accepted. Department staff will direct the bidders to the bid opening.

The Department will open and publicly read the bids at the above location immediately after the specified closing time.

District office addresses are provided in the *Standard Specifications*.

Present bidders' inquiries to the Department and view the Department's responses at:

[http://www.dot.ca.gov/hq/esc/oe/project\\_status/bid\\_inq.html](http://www.dot.ca.gov/hq/esc/oe/project_status/bid_inq.html)

Questions about alleged patent ambiguity of the plans, specifications, or estimate must be asked before bid opening. After bid opening, the Department does not consider these questions as bid protests.

Submit your bid with bidder's security equal to at least 10 percent of the bid.

Prevailing wages are required on this Contract. The Director of the California Department of Industrial Relations determines the general prevailing wage rates. Obtain the wage rates at the DIR Web site, <http://www.dir.ca.gov>, or from the Department's Labor Compliance Office of the district in which the work is located.

The federal minimum wage rates for this Contract as determined by the United States Secretary of Labor are available at <http://www.dot.ca.gov/hq/esc/oe/federal-wages>.

If the minimum wage rates as determined by the United States Secretary of Labor differs from the general prevailing wage rates determined by the Director of the California Department of Industrial Relations for similar classifications of labor, the Contractor and subcontractors must not pay less than the higher wage rate. The Department does not accept lower State wage rates not specifically included in the federal minimum wage determinations. This includes helper, or other classifications based on hours of

experience, or any other classification not appearing in the federal wage determinations. Where federal wage determinations do not contain the State wage rate determination otherwise available for use by the Contractor and subcontractors, the Contractor and subcontractors must not pay less than the federal minimum wage rate that most closely approximates the duties of the employees in question.

The Department has made available Notices of Suspension and Proposed Debarment from the Federal Highway Administration. For a copy of the notices, go to [http://www.dot.ca.gov/hq/esc/oe/contractor\\_info](http://www.dot.ca.gov/hq/esc/oe/contractor_info). Additional information is provided in the Excluded Parties List System at <https://www.epls.gov>.

Department of Transportation

LJL

**COPY OF BID ITEM LIST**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
1	071325	TEMPORARY FENCE (TYPE ESA)	LF	2,650
2	074017	PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LUMP SUM
3	074038	TEMPORARY DRAINAGE INLET PROTECTION	EA	91
4	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM
5	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM
6	128651	PORTABLE CHANGEABLE MESSAGE SIGN (EA)	EA	4
7	130100	JOB SITE MANAGEMENT	LS	LUMP SUM
8	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM
9	150771	REMOVE ASPHALT CONCRETE DIKE	LF	240
10	160102	CLEARING AND GRUBBING (LS)	LS	LUMP SUM
11	190110	LEAD COMPLIANCE PLAN	LS	LUMP SUM
12	202011	MULCH	CY	74
13	210300	HYDROMULCH	SQFT	50,500
14	390136	MINOR HOT MIX ASPHALT	TON	2
15	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	LF	130
16	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	LF	120
17	820118	GUARD RAILING DELINEATOR	EA	9
18	820132	OBJECT MARKER (TYPE L)	EA	3
19	832002	METAL BEAM GUARD RAILING (STEEL POST)	LF	100
20	839581	END ANCHOR ASSEMBLY (TYPE SFT)	EA	3

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
21	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	EA	1
22	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LS	LUMP SUM
23	024872	MODIFY VEHICLE CLASSIFICATION STATION	LS	LUMP SUM
24	860930	TRAFFIC MONITORING STATION	LS	LUMP SUM
25	024873	TRAFFIC MONITORING STATION (PHOTOVOLTAIC)	LS	LUMP SUM
26	999990	MOBILIZATION	LS	LUMP SUM



**6 CONTROL OF MATERIALS**

**Add to section 6-2.03:**

The Department furnishes you with:

- Loop detector sensor units
- Model 2070E controller units
- Central control system, including communication harnesses, antenna cell boosters, and Model 2070-6A modems

The Department furnishes you with a Model 2070E controller unit at 1604 South B Street, Electrical Maintenance, Stockton, CA 95206, (916) 948-7454. At least 48 hours before you pick up the materials, inform the Engineer of what you will pick up and when you will pick it up.

You must furnish replacement plants. The Department does not pay you for the replacement plants.

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**7 LEGAL RELATIONS AND RESPONSIBILITY TO THE PUBLIC**

**Replace section 7-1.02K(6)(j)(iii) with:**

**7-1.02K(6)(j)(iii) Earth Material Containing Lead**

Section 7-1.02K(6)(j)(iii) includes specifications for handling, removing, and disposing of earth material containing lead.

Submit a lead compliance plan.

Lead is present in earth material on the job site. The average lead concentrations are below 1,000 mg/kg total lead and below 5 mg/L soluble lead. Earth material on the job site:

1. Is not a hazardous waste
2. Does not require disposal at a permitted landfill or solid waste disposal facility

Lead is typically found within the top 2 feet of material in unpaved areas of the highway. Reuse all excavated earth material on the right-of-way.

Handle earth material containing lead under all applicable laws, rules, and regulations, including those of the following agencies:

1. Cal/OSHA
2. CA RWQCB, Region 5S—Central Valley Region
3. CA Department of Toxic Substances Control

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**DIVISION II GENERAL CONSTRUCTION**

**12 TEMPORARY TRAFFIC CONTROL**

**Add to section 12-3.12C:**

Start displaying the message on the portable changeable message sign 15 minutes before closing the lane.

Place 1 portable changeable message sign for each lane closure and one for each ramp or connector closure. The exact locations will be designated by the Engineer.

**Replace section 12-3.13 with:**

### **12-3.13 IMPACT ATTENUATOR VEHICLE**

#### **12-3.13A General**

##### **12-3.13A(1) Summary**

Section 12-3.13 includes specifications for protecting traffic and workers with an impact attenuator vehicle during moving lane closures and when placing and removing components of stationary lane closures, ramp closures, shoulder closures, or a combination.

Impact attenuator vehicles must comply with the following test levels under National Cooperative Highway Research Program 350:

1. Test level 3 if the preconstruction posted speed limit is 50 mph or more
2. Test levels 2 or 3 if the preconstruction posted speed limit is 45 mph or less

Comply with the attenuator manufacturer's instructions for:

1. Support truck
2. Trailer-mounted operation
3. Truck-mounted operation

Flashing arrow signs must comply with section 12-3.03. You may use a portable changeable message sign instead of a flashing arrow sign. If a portable changeable message sign is used as a flashing arrow sign, it must comply with section 6F.56 "Arrow Panels" of the California MUTCD.

##### **12-3.13A(2) Definitions**

impact attenuator vehicle: A support truck that is towing a deployed attenuator mounted to a trailer or a support truck with a deployed attenuator that is mounted to the support truck.

##### **12-3.13A(3) Submittals**

Upon request, submit a certificate of compliance for each attenuator used on the project.

##### **12-3.13A(4) Quality Control and Assurance**

Do not start impact attenuator vehicle activities until authorized.

Before starting impact attenuator vehicle activities, conduct a preinstallation meeting with the Engineer, subcontractors, and other parties involved with traffic control to discuss the operation of the impact attenuator vehicle during moving lane closures and when placing and removing components of stationary traffic control systems.

Schedule the location, time, and date for the preinstallation meeting with all participants. Furnish the facility for the preinstallation meeting within 5 miles of the job site or at another location if authorized.

##### **12-3.13B Materials**

Attenuators must be a brand on the Authorized Material List for highway safety features.

The combined weight of the support truck and the attenuator must be at least 19,800 pounds, except the weight of the support truck must not be less than 16,100 or greater than 26,400 pounds.

For the Trinity MPS-350 truck-mounted attenuator, the support truck must not have a fuel tank mounted underneath within 10'-6" of the rear of the support truck.

Each impact attenuator vehicle must have:

1. Legal brake lights, taillights, sidelights, and turn signals
2. Inverted "V" chevron pattern placed across the entire rear of the attenuator composed of alternating 4-inch wide nonreflective black stripes and 4-inch wide yellow retroreflective stripes sloping at 45 degrees
3. Type II flashing arrow sign
4. Flashing or rotating amber light
5. Operable 2-way communication system for maintaining contact with workers

**12-3.13C Construction**

Except where prohibited, use an impact attenuator vehicle:

1. To follow behind equipment and workers who are placing and removing components of a stationary lane closure, ramp closure, shoulder closure, or any combination. Operate the flashing arrow sign in the arrow or caution mode during this activity, whichever applies. Follow at a distance that prevents intrusion into the workspace from passing traffic.
2. As a shadow vehicle in a moving lane closure.

After placing components of a stationary traffic control system you may place the impact attenuator vehicle in advance of the work area or at another authorized location to protect traffic and workers.

Secure objects, including equipment, tools, and ballast on impact attenuator vehicles to prevent loosening upon impact by an errant vehicle.

Do not use a damaged attenuator in the work. Replace any attenuator damaged from an impact during work activities at your expense.

**12-3.13 Payment**

Not Used

**Add to section 12-4.02A:**

For grinding and grooving operations, sawcutting concrete slabs, and installing loop detectors with an impact attenuator vehicle as a shadow vehicle, closure of the adjacent traffic lane is not required.

Designated holidays are as shown in the following table:

**Designated Holidays**

Holiday	Date observed
New Year's Day	January 1st
Washington's Birthday	3rd Monday in February
Memorial Day	Last Monday in May
Independence Day	July 4th
Labor Day	1st Monday in September
Veterans Day	November 11th
Thanksgiving Day	4th Thursday in November
Christmas Day	December 25th

If a designated holiday falls on a Sunday, the following Monday is a designated holiday. If November 11th falls on a Saturday, the preceding Friday is a designated holiday.

The maximum length of a single stationary lane closure is 0.5 miles.

Not more than 1 stationary lane closures will be allowed in each direction of travel at one time. Concurrent stationary closures must be spaced no closer than 5.0 miles apart.

Freeway closure charts are for the installation of Traffic Monitoring System equipment and other authorized work.

Personal vehicles of your employees must not be parked on the traveled way or shoulders, including sections closed to traffic.

If work vehicles or equipment are parked within 6 feet of a traffic lane, close the shoulder area as shown.

If a connector closure is required within the limits of a freeway lane closure, complete the work on the connector first. Then, complete the work on the freeway traveled way necessary to ensure safe passage of traffic between the connector and open freeway lanes. Complete the remaining work only after reopening the connector.

Replace "Reserved" in section 12-4.04 with:

Lane Closure Restriction for Designated Holidays and Special Days										
Thu	Fri	Sat	Sun	Mon	Tues	Wed	Thu	Fri	Sat	Sun
x	<b>H</b> xx	xx	xx							
	<b>SD</b> xx									
x	xx	<b>H</b> xx	xx							
		<b>SD</b> xx								
	x	xx	<b>H</b> xx	xx						
			<b>SD</b> xx							
	x	xx	xx	<b>H</b> xx	xxx					
	x	xx	xx	<b>SD</b> xx	xxx					
				x	<b>H</b> xx					
				x	<b>SD</b> xx					
					x	<b>H</b> xx				
						<b>SD</b> xx				
						x	<b>H</b> xx	xx	xx	xx
							<b>SD</b> xx			
Legend:										
	Refer to lane requirement charts									
x	The full width of the traveled way must be open for use by traffic after 6:00 a.m.									
xx	The full width of the traveled way must be open for use by traffic.									
xxx	The full width of the traveled way must be open for use by traffic until 9:00 a.m.									
<b>H</b>	Designated holiday									
<b>SD</b>	Special day									

Replace "Reserved" in section 12-4.05D with:

Chart no. 1 Connector Lane Requirements																											
County: San Joaquin					Route/Direction: 5 Northbound										PM: 0.30												
Closure limits: Mainline at San Joaquin/Stanislaus County line, off-ramp to westbound Route 580 (Locations 1 and 125).																											
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	
Mondays through Thursdays		1	1	1	1							1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
Fridays		1	1	1	1							1	1	1	1	1	1										
Saturdays																											
Sundays																									1	1	1

Legend:

1	Provide at least 1 connector lane open in direction of travel
	Work allowed within the highway where shoulder or lane closure is not required

REMARKS:

Chart no. 2 Complete Connector Closure Hours																											
County: San Joaquin					Route/Direction: 5										PM: 3.4												
Closure limits: Northbound and southbound Route 5 on-ramps and off-ramps to and from eastbound and westbound Route 132 (locations 2-5 and 54-57).																											
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	
Mondays through Thursdays												C	C	C	C	C	C	C									
Fridays												C	C	C	C	C	C										
Saturdays																											
Sundays																											

Legend:

C	Connector may be closed completely
	Work allowed within the highway where shoulder or lane closure is not required

REMARKS:

- Only 1 ramp to be closed at a time at this interchange.
- No 2 consecutive or opposing ramps may be closed at the same time.
- Detour required.

Chart no. 3 Connector Lane Requirements																										
County: San Joaquin					Route/Direction: 5/Northbound										PM: R14.8											
Closure limits: Off-ramp to eastbound Route 120 (location 14).																										
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays		1	1	1	1	1	1																		1	1
Fridays		1	1	1	1	1	1																			
Saturdays																										
Sundays																									1	1
Legend:																										
1		Provide at least 1 connector lane open in direction of travel																								
		Work allowed within the highway where shoulder or lane closure is not required																								
REMARKS:																										

Chart no. 4 Complete Connector Closure Hours																											
County: San Joaquin					Route/Direction: 5/Northbound										PM: R14.8												
Closure limits: On-ramp from westbound Route 120 (location 15).																											
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	
Mondays through Thursdays		C	C	C	C																			C	C	C	C
Fridays		C	C	C	C																						
Saturdays																											
Sundays																								C	C	C	C
Legend:																											
C		Connector may be closed completely																									
		Work allowed within the highway where shoulder or lane closure is not required																									
REMARKS:																											
a. No 2 consecutive or opposing ramps may be closed at the same time.																											
b. Detour required.																											

Chart no. 5 Connector Lane Requirements																										
County: San Joaquin					Route/Direction: 5/Northbound										PM: 25.7											
Closure limits: Off-ramp to eastbound Route 4 (location 33).																										
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays		1	1	1	1	1																		1	1	1
Fridays		1	1	1	1	1																				
Saturdays																										
Sundays																								1	1	1
Legend:																										
1		Provide at least 1 connector lane open in direction of travel																								
		Work allowed within the highway where shoulder or lane closure is not required																								
REMARKS:																										

Chart no. 6 Connector Lane Requirements																										
County: San Joaquin					Route/Direction: 5/Northbound										PM: 25.7											
Closure limits: Off-ramp to westbound Route 4 (location 34).																										
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays		1	1	1	1	1	1																	1	1	1
Fridays		1	1	1	1	1	1																			
Saturdays																										
Sundays																								1	1	1
Legend:																										
1		Provide at least 1 connector lane open in direction of travel																								
		Work allowed within the highway where shoulder or lane closure is not required																								
REMARKS:																										

Chart no. 7 Complete Connector Closure Hours																													
County: San Joaquin					Route/Direction: 5/Northbound										PM: 25.9														
Closure limits: On-ramp from eastbound Route 4 (location 35).																													
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24			
Mondays through Thursdays		C	C	C	C	C																				C	C	C	
Fridays		C	C	C	C	C																							
Saturdays																													
Sundays																											C	C	C
Legend:																													
<input checked="" type="checkbox"/> C		Connector may be closed completely																											
<input type="checkbox"/>		Work allowed within the highway where shoulder or lane closure is not required																											
REMARKS:																													
a. No 2 consecutive or opposing ramps may be closed at the same time.																													
b. Detour required.																													

Chart no. 8 Complete Connector Closure Hours																														
County: San Joaquin					Route/Direction: 5/Northbound										PM: 25.9															
Closure limits: On-ramp from westbound Route 4 (location 36).																														
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24				
Mondays through Thursdays		C	C	C	C																						C	C	C	
Fridays		C	C	C	C																									
Saturdays																														
Sundays																												C	C	C
Legend:																														
<input checked="" type="checkbox"/> C		Connector may be closed completely																												
<input type="checkbox"/>		Work allowed within the highway where shoulder or lane closure is not required																												
REMARKS:																														
a. No 2 consecutive or opposing ramps may be closed at the same time.																														
b. Detour required.																														

Chart no. 9 Connector Lane Requirements																										
County: San Joaquin					Route/Direction: 5/Northbound										PM: 39.6											
Closure limits: Off-ramp to Route 12 (location 45).																										
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays		1	1	1	1	1																		1	1	1
Fridays		1	1	1	1	1																				
Saturdays																										
Sundays																								1	1	1
Legend:																										
1		Provide at least 1 connector lane open in direction of travel																								
		Work allowed within the highway where shoulder or lane closure is not required																								
REMARKS:																										

Chart no. 10 Complete Connector Closure Hours																										
County: San Joaquin					Route/Direction: 5										PM: 39.6											
Closure limits: Northbound Route 5: on-ramp from Route 12 (location 46). Southbound Route 5: on-ramp from eastbound Route 12, on-ramp from westbound Route 12, off-ramp to Route 12 (locations 97-99).																										
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays		C	C	C	C	C																		C	C	C
Fridays		C	C	C	C	C																				
Saturdays																										
Sundays																								C	C	C
Legend:																										
C		Connector may be closed completely																								
		Work allowed within the highway where shoulder or lane closure is not required																								
REMARKS:																										
a. No 2 consecutive or opposing ramps may be closed at the same time.																										
b. Detour required.																										
c. Closure of local roads will require city or county concurrence.																										

Chart no. 11 Connector Lane Requirements																									
County: San Joaquin					Route/Direction: 5/Southbound										PM: 0.3										
Closure limits: Mainline at San Joaquin/Stanislaus county line, on-ramp from eastbound Route 580 (locations 53 and 126).																									
From hour to hour      24 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24																									
Mondays through Thursdays																									
Fridays																									
Saturdays																									
Sundays																									
Legend:																									
<input type="checkbox"/> 1 Provide at least 1 connector lane open in direction of travel <input type="checkbox"/> Work allowed within the highway where shoulder or lane closure is not required																									
REMARKS:																									

Chart no. 12 Connector Lane Requirements																									
County: San Joaquin					Route/Direction: 5/Southbound										PM: R14.8										
Closure limits: On-ramp from westbound Route 120 (location 66).																									
From hour to hour      24 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24																									
Mondays through Thursdays																									
Fridays																									
Saturdays																									
Sundays																									
Legend:																									
<input type="checkbox"/> 1 Provide at least 1 connector lane open in direction of travel <input type="checkbox"/> Work allowed within the highway where shoulder or lane closure is not required																									
REMARKS:																									

Chart no. 13 Complete Connector Closure Hours																											
County: San Joaquin					Route/Direction: 5/Southbound										PM: R14.8												
Closure limits: Off-ramp to eastbound Route 120 (location 67).																											
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	
Mondays through Thursdays		C	C	C	C	C																			C	C	C
Fridays		C	C	C	C	C																					
Saturdays																											
Sundays																									C	C	C

Legend:

C Connector may be closed completely

Work allowed within the highway where shoulder or lane closure is not required

REMARKS:

a. No 2 consecutive or opposing ramps may be closed at the same time.

b. Detour required.

Chart no. 14 Complete Connector Closure Hours																												
County: San Joaquin					Route/Direction: 5/Southbound										PM: 25.9													
Closure limits: On-ramp from eastbound Route 4 (location 85).																												
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24		
Mondays through Thursdays		C	C	C	C	C																			C	C	C	C
Fridays		C	C	C	C	C																						
Saturdays																												
Sundays																									C	C	C	

Legend:

C Connector may be closed completely

Work allowed within the highway where shoulder or lane closure is not required

REMARKS:

a. No 2 consecutive or opposing ramps may be closed at the same time.

b. Detour required.

Chart no. 15 Complete Connector Closure Hours																											
County: San Joaquin					Route/Direction: 5/Southbound										PM: 25.9												
Closure limits: On-ramp from westbound Route 4 (location 86).																											
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	
Mondays through Thursdays		C	C	C	C																				C	C	C
Fridays		C	C	C	C																						
Saturdays																											
Sundays																									C	C	C
Legend:																											
C		Connector may be closed completely																									
		Work allowed within the highway where shoulder or lane closure is not required																									
REMARKS:																											
a. No 2 consecutive or opposing ramps may be closed at the same time. b. Detour required.																											

Chart no. 16 Complete Connector Closure Hours/Connector Lane Requirements																											
County: San Joaquin					Route/Direction: 5/Southbound										PM: 26.2												
Closure limits: Off-ramp to eastbound Route 4 (location 87).																											
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	
Mondays through Thursdays		C	C	C	C	1																		1	1	C	C
Fridays		C	C	C	C	1																					
Saturdays																											
Sundays																									1	C	C
Legend:																											
1		Provide at least 1 connector lane open in direction of travel																									
C		Connector may be closed completely																									
		Work allowed within the highway where shoulder or lane closure is not required																									
REMARKS:																											
a. No 2 consecutive or opposing ramps may be closed at the same time. b. Detour required.																											

Chart no. 17 Connector Lane Requirements																										
County: San Joaquin					Route/Direction: 132/Eastbound										PM: 0.2											
Closure limits: On-ramp from eastbound Route 580 (location 114).																										
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays									1	1	1	1	1	1	1											
Fridays									1	1	1	1	1	1	1											
Saturdays																										
Sundays																										
Legend:																										
1		Provide at least 1 connector lane open in direction of travel																								
		Work allowed within the highway where shoulder or lane closure is not required																								
REMARKS:																										
a. No 2 consecutive or opposing ramps may be closed at the same time. b. Detour required.																										

Chart no. 18 Connector Lane Requirements																										
County: San Joaquin					Route/Direction: 132/Westbound										PM: 0.2											
Closure limits: Off-ramp to westbound Route 580 (location 120).																										
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays		1	1	1	1	1			S	S	S	S	S	S	S	S						1	1	1	1	1
Fridays		1	1	1	1	1			S	S	S	S	S	S	S	S										
Saturdays																										
Sundays																						1	1	1	1	1
Legend:																										
1		Provide at least 1 connector lane open in direction of travel																								
S		Shoulder closure allowed																								
		Work allowed within the highway where shoulder or lane closure is not required																								
REMARKS:																										
a. No 2 consecutive or opposing ramps may be closed at the same time. b. Detour required.																										

**Replace "Reserved" in section 12-4.05E with:**

<b>Chart no. 19 Complete Ramp Closure Hours</b>																									
County: San Joaquin					Route/Direction: 5/Northbound										PM: Various										
Closure limits: Off-ramp to Route 33, on-ramp from Route 33, off-ramp to Kasson Road; on-ramp from Kasson Road, off-ramp to Louise Avenue, on-ramp from Louise Avenue, off-ramp to Lathrop Road, on-ramp from Lathrop Road, off-ramp to Roth Road, on-ramp from Roth Road, off-ramp to El Dorado Street, off-ramp to Mathews Road, on-ramp from Mathews Road, off-ramp to Downing Avenue, on-ramp from Downing Avenue, off-ramp to 8th Street, on-ramp from 8th Street, off-ramp to Turner Road, on-ramp from Turner Road, off-ramp to Peltier Road, on-ramp from Peltier Road, off-ramp to Walnut Grove Road, on-ramp from Walnut Grove Road (locations 6-9, 16-24, 27-30, and 47-52).																									
From hour to hour      24 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24																									
Mondays through Thursdays																									
Fridays																									
Saturdays																									
Sundays																									
<p>Legend:</p> <p><input type="checkbox"/> C Ramp may be closed completely</p> <p><input type="checkbox"/> Work allowed within the highway where shoulder or lane closure is not required</p>																									
<p>REMARKS:</p> <p>a. No 2 consecutive or opposing ramps may be closed at the same time.</p> <p>b. Detour required.</p> <p>c. Closures of local roads will require city or county concurrence.</p>																									

**Replace "Reserved" in section 12-4.05E with:**

<p align="center"><b>Chart no. 20</b> <b>Complete Ramp Closure Hours</b></p>																												
County: San Joaquin					Route/Direction: 5/Northbound										PM: Various													
Closure limits: On-ramp from 11th Street, off-ramp to Mossdale Road, on-ramp from Mossdale Road, off-ramp to Charter Way(Route 4), on-ramp from Charter Way(Route 4), off-ramp to Pershing Avenue, on-ramp from Pershing Avenue, off-ramp to Monte Diablo Avenue, on-ramp from Monte Diablo Avenue, off-ramp to Country Club Boulevard, on-ramp from Alpine Avenue, off-ramp to Eight Mile Road, on-ramp from Eight Mile Road (locations 10, 12, 13, 31, 32, and 37-44).																												
From hour to hour      24 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24																												
Mondays through Thursdays					C	C	C	C	C																C	C	C	
Fridays					C	C	C	C	C																			
Saturdays																												
Sundays																										C	C	C
Legend: <input type="checkbox"/> C Ramp may be closed completely  <input type="checkbox"/> Work allowed within the highway where shoulder or lane closure is not required																												
REMARKS:  a. No 2 consecutive or opposing ramps may be closed at the same time.  b. Detour required.  c. Closures of local roads will require city or county concurrence.																												

**Chart no. 21  
Complete Ramp Closure Hours**

County: San Joaquin	Route/Direction: 5/Southbound	PM: Various																							
Closure limits: On-ramp from Route 33, off-ramp to Route 33, on-ramp from Kasson Road, off-ramp to Kasson Road, on-ramp from Manthey Road, off-ramp to Manthey Road, on-ramp from Louise Avenue, off-ramp to Louise Avenue, on-ramp from Lathrop Road, off-ramp to Lathrop Road, on-ramp from Roth Road, off-ramp to Roth Road, on-ramp from El Dorado Street, on-ramp from Mathews Road, off-ramp to Mathews Road, on-ramp from Downing Avenue, off-ramp to Downing Avenue, on-ramp from 8th Street, off-ramp to 8th Street (locations 58-61, 64, 65, 68-76, and 79-82).																									
From hour to hour	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays											C	C	C	C	C	C	C								
Fridays											C	C	C	C	C	C									
Saturdays																									
Sundays																									

**Legend:**

C Ramp may be closed completely

Work allowed within the highway where shoulder or lane closure is not required

**REMARKS:**

- a. No 2 consecutive or opposing ramps may be closed at the same time.
- b. Detour required.
- c. Closures of local roads will require city or county concurrence.

**Chart no. 22  
Complete Ramp Closure Hours**

County: San Joaquin	Route/Direction: 5/Southbound 580/eastbound and westbound	PM: Various																							
Closure limits: Southbound Route 5: Off-ramp to Tracy at 11th Street, on-ramp from Fremont Street, off-ramp to Fremont Street, on-ramp from Monte Diablo Avenue, off-ramp to Monte Diablo Avenue, on-ramp from Country Club Boulevard, off-ramp to Alpine Avenue, on-ramp from Eight Mile Road, off-ramp to Eight Mile Road (locations 62 and 89-96). Eastbound and westbound Route 580 on-ramps and off-ramps to and from Patterson Pass Road (locations 117, 118, 123, and 124).																									
From hour to hour	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C																		C	C	C	C
Fridays	C	C	C	C																					
Saturdays																									
Sundays																						C	C	C	C

**Legend:**

- C Ramp may be closed completely
- Work allowed within the highway where shoulder or lane closure is not required

**REMARKS:**

- a. No 2 consecutive or opposing ramps may be closed at the same time.
- b. Detour required.
- c. Closures of local roads will require city or county concurrence.

**Chart no. 23  
Complete Ramp Closure Hours**

County: San Joaquin	Route/Direction: 5/Southbound	PM: 25.4																							
Closure limits: On-ramp from Charter Way/Route 4, off-ramp to Charter Way(Route 4), (locations 83 and 84).																									
From hour to hour	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C																		C	C	C
Fridays	C	C	C	C	C																				
Saturdays																									
Sundays																							C	C	C

**Legend:**

- C Ramp may be closed completely
- Work allowed within the highway where shoulder or lane closure is not required

**REMARKS:**

- a. No 2 consecutive or opposing ramps may be closed at the same time.
- b. Detour required.
- c. Closures of local roads will require city or county concurrence.

**Chart no. 24  
Complete Ramp Closure Hours**

County: San Joaquin	Route/Direction: 5/Southbound, 132 eastbound and westbound	PM: Various																								
Closure limits: Southbound Route 5: on-ramp from Turner Road, off-ramp to Turner Road, on-from Peltier Road, off-ramp to Peltier Road, on-ramp from Walnut Grove Road, off-ramp to Walnut Grove Road (locations 100-105). Eastbound and westbound Route 132 on-ramps and off-ramps to and from Chrisman Road (locations 106, 107, 110, and 111).																										
From hour to hour	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	
Mondays through Thursdays											C	C	C	C	C	C	C									
Fridays											C	C	C	C	C											
Saturdays																										
Sundays																										

**Legend:**

C Ramp may be closed completely

Work allowed within the highway where shoulder or lane closure is not required

**REMARKS:**

- a. No 2 consecutive or opposing ramps may be closed at the same time.
- b. Detour required.
- c. Closures of local roads will require city or cCounty concurrence.

**Chart no. 25  
Complete Ramp Closure Hours**

County: San Joaquin	Route/Direction: 132/Eastbound and westbound	PM: T6.0
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Closure limits: Eastbound and westbound Route 132 on-ramps and off-ramps to and from Route 33 (locations 109 and 127-129).

From hour to hour	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays									S	C	C	C	C	C	C	C	S	S							
Fridays									S	C	C	C	C	C	C										
Saturdays																									
Sundays																									

**Legend:**

- C Ramp may be closed completely
- S Shoulder closure allowed
- Work allowed within the highway where shoulder or lane closure is not required

**REMARKS:**

- a. Eastbound and westbound Route 132 Off-ramps to Route 33 may be closed at the same time, use on-ramp from Route 33 for traffic detour.
- b. On-ramp from Route 33 may be closed, use eastbound Route 132 off-ramp to Route 33 for traffic detour.
- c. Westbound Route 132 off-ramp to Route 33 may be closed, use eastbound Route 132 off-ramp to Route 33 for traffic detour.
- d. Flagger and channelizers required.
- e. No 2 consecutive or opposing ramps may be closed at the same time.
- f. Closures of local roads will require City or cCounty concurrence.

Chart no. 26 Complete Ramp Closure Hours																										
County: San Joaquin					Route/Direction: 580/Eastbound and westbound										PM: 4.0, 8.1											
Closure limits: Eastbound Route 580: on-ramp from Chrisman Road, on-ramp from Corral Hollow Road, off-ramp to Corral Hollow Road (locations 113, 115, and 116). Westbound Route 580: off-ramp to Chrisman Road, off-ramp to Corral Hollow Road, on-ramp from Corral Hollow Road (locations 119, 121, 122).																										
From hour to hour		24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays											C	C	C	C	C	C	C									
Fridays											C	C	C	C	C	C										
Saturdays																										
Sundays																										
Legend:																										
<input type="checkbox"/> C		Ramp may be closed completely																								
<input type="checkbox"/>		Work allowed within the highway where shoulder or lane closure is not required																								
REMARKS:																										
a. No 2 consecutive or opposing ramps may be closed at the same time.																										
b. Detour required.																										
c. Closures of local roads will require city or county concurrence.																										

**Replace section 12-5 with:  
12-5 TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE**

**12-5.01 GENERAL**

Section 12-5 includes specifications for closing traffic lanes, ramps, or a combination, with stationary lane closures on multilane highways. The traffic control system for a lane closure or a ramp closure must comply with the details shown.

Traffic control system includes signs.

**12-5.02 MATERIALS**

Not Used

**12-5.03 CONSTRUCTION**

Each vehicle used to place, maintain, and remove components of a traffic control system on a multilane highway must be equipped with a Type II flashing arrow sign that must be in operation whenever the vehicle is being used for placing, maintaining, or removing the components. Vehicles equipped with a Type II flashing arrow sign not involved in placing, maintaining, or removing the components if operated within a stationary-type lane closure must display only the caution display mode. The sign must be controllable by the operator of the vehicle while the vehicle is in motion. If a flashing arrow sign is required for a lane closure, the flashing arrow sign must be operational before the lane closure is in place.

Whenever components of the traffic control system are displaced or cease to operate or function as specified from any cause, immediately repair the components to the original condition or replace the components and restore the components to the original location.



**14-6.02C(2) Protective Radius**

Upon discovery of a regulated species, stop construction activities within a 100-foot radius of the discovery or as defined in the table below. Immediately notify the Engineer. Do not resume activities until receiving notification from the Engineer.

Regulated species name	Protective radius
Hawks and raptors	250 feet

**14-6.02C(3) Protocols**

Reserved

**14-6.02C(4) Biological Resource Information**

Implement the following Biological Resource Information requirements.

1. Construction personnel must be trained on biological resources for the regulated species listed above.
2. Equipment staging areas must be cleared by a Caltrans biologist.
3. Preconstruction surveys for the regulated species listed above are required.

**14-6.02C(5) Protection Measures**

Within Species Protection Area near GGS habitat, implement the following protection measures:

1. No pets are permitted on the project site.

Within Species Protection Area near Swainson's hawk habitat, implement the following protection measures:

1. Preconstruction surveys required February 15 to September 15

Within Species Protection Area near San Joaquin kit fox habitat, implement the following protection measures:

1. No pets are permitted on the project site.

**14-6.02C(6) Monitoring Schedule**

Monitor according to the following schedule:

Monitoring type	Schedule
Giant garter snake	Daily monitoring required when work is being done on locations 45,46,97,98, and 99
Riparian brush rabbit	Daily monitoring on days when construction activities are done at locations 11 and 64.
California tiger salamander	Daily monitoring when construction activities are being done at locations 38 and 90.
San Joaquin kit fox	Daily monitoring on the days when work is being done at locations 1, 53, 113, 114, 115, 116, and 117.
Swainson's hawk	Monitoring when active nest is found within 200 ft of the construction activities.

**14-6.02D Payment**

Not Used





Synthetic slurries must comply with the Department's requirements for synthetic slurries to be included in the above table. The requirements are available from the Offices of Structure Design, P.O. Box 168041, MS# 9-4/11G, Sacramento, CA 95816-8041.

SlurryPro CDP synthetic slurry must comply with the requirements shown in the following table:

**SLURRYPRO CDP**

Property	Test	Value
Density During drilling	Mud Weight (density), API 13B-1, section 1	≤ 67.0 pcf <sup>a</sup>
Before final cleaning and immediately before placing concrete		≤ 64.0 pcf <sup>a</sup>
Viscosity During drilling	Marsh Funnel and Cup. API 13B-1, section 2.2	50–120 sec/qt
Before final cleaning and immediately before placing concrete		≤ 70 sec/qt
pH	Glass electrode pH meter or pH paper	6.0–11.5
Sand content, percent by volume Before final cleaning and immediately before placing concrete	Sand, API 13B-1, section 5	≤ 0.5 percent

<sup>a</sup>If authorized, you may use slurry in salt water. The allowable density of slurry in salt water may be increased by 2 pcf.

Slurry temperature must be at least 40 degrees F when tested.

Super Mud synthetic slurry must comply with the requirements shown in the following table:

**SUPER MUD**

Property	Test	Value
Density During drilling	Mud Weight (Density), API 13B-1, section 1	≤ 64.0 pcf <sup>a</sup>
Before final cleaning and immediately before placing concrete		≤ 64.0 pcf <sup>a</sup>
Viscosity During drilling	Marsh Funnel and Cup. API 13B-1, section 2.2	32–60 sec/qt
Before final cleaning and immediately before placing concrete		≤ 60 sec/qt
pH	Glass electrode pH meter or pH paper	8.0–10.0
Sand content, percent by volume Before final cleaning and immediately before placing concrete	Sand, API 13B-1, section 5	≤ 0.5 percent

<sup>a</sup>If authorized, you may use slurry in salt water. The allowable density of slurry in salt water may be increased by 2 pcf.

Slurry temperature must be at least 40 degrees F when tested.



## DIVISION IX TRAFFIC CONTROL FACILITIES

### 83 RAILINGS AND BARRIERS

**Replace item 1 in the 7th paragraph of section 83-1.02B with:**

1. Wood, Steel, or plastic posts

**Replace item 2 in the 7th paragraph of section 83-1.02B with:**

2. Plastic blocks for line posts

**Replace section 83-1.02C(3) with:**

#### **83-1.02C(3) Alternative Flared Terminal System**

Alternative flared terminal system must be furnished and installed as shown on the plans and under these special provisions.

The allowable alternatives for a flared terminal system must consist of one of the following or a Department-authorized equal.

1. TYPE FLEAT TERMINAL SYSTEM - Type FLEAT terminal system must be a Flared Energy Absorbing Terminal 350 manufactured by Road Systems, Inc., located in Big Spring, Texas, and must include items detailed for Type FLEAT terminal system shown on the plans. The Flared Energy Absorbing Terminal 350 can be obtained from the distributor, Universal Industrial Sales, P.O. Box 699, Pleasant Grove, UT 84062, telephone (801) 785-0505 or from the distributor, Gregory Industries, Inc., 4100 13th Street, S.W., Canton, OH 44708, telephone (330) 477-4800.
2. TYPE SRT TERMINAL SYSTEM - Type SRT terminal system must be an SRT-350 Slotted Rail Terminal (8-post system) as manufactured by Trinity Highway Products, LLC, and must include items detailed for Type SRT terminal system shown on the plans. The SRT-350 Slotted Rail Terminal (8-post system) can be obtained from the manufacturer, Trinity Highway Products, LLC, P.O. Box 99, Centerville, UT 84012, telephone (800) 772-7976.
3. TYPE X-TENSION TERMINAL SYSTEM - Type X-Tension terminal system must be an X-Tension Guard Rail End Terminal as manufactured by Barrier Systems, Inc. located in Vacaville, CA, and must include items detailed for terminal system (Type X-Tension) in conformance with manufacturer's details and as shown on the plans. The X-Tension guard rail terminal can be obtained from the distributor, Statewide Traffic Safety and Signs, Inc., 130 Grobric Court, Fairfield, CA 94533, telephone (800) 770-2644.

Submit a certificate of compliance for terminal systems.

Terminal systems must be installed under the manufacturer's installation instructions and these specifications. Each terminal system installed must be identified by painting the type of terminal system in neat black letters and figures 2 inches high on the backside of the rail element between system posts numbers 4 and 5. Paint must be metallic acrylic resin type spray paint. Prior to applying terminal system identification, the surface to receive terminal system identification must be removed of all dirt, grease, oil, salt or other contaminants by washing the surface with detergent or other suitable cleaner. Rinse thoroughly with fresh water and allow to fully dry.

For Type SRT terminal system, the steel foundation tubes with soil plates attached must be, at the Contractor's option, either driven, with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes must be backfilled with selected earth, free of rock, placed in layers approximately 4 inches thick and each layer must be moistened and thoroughly compacted. The wood terminal posts must be inserted into the steel foundation tubes by hand and must not be driven. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts must be coated with a grease that will not melt or run at a temperature of 149 degrees F or less. The edges of the wood terminal posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.



Traffic monitoring stations and their associated communication systems, which were verified to be operational during the pre-construction operational status check, must remain operational on freeway/highway mainline at all times, except:

1. For a duration of up to 15 days on any continuous segment of the freeway/highway longer than 3 miles
2. For a duration of up to 60 days on any continuous segment of the freeway/highway shorter than 3 miles

If the construction activities require existing detection systems to be nonoperational or off line for a longer time period or the spacing between traffic monitoring stations is more than the specified criteria above, and temporary or portable detection operations are not shown, the Contractor must provide provisions for temporary or portable detection operations. The Contractor must receive authorization on the type of detection and installation before installing the temporary or portable detection.

If existing TMS elements shown or identified during the pre-construction operational status check, except traffic monitoring stations, are damaged or fail due to the Contractor's activity, where the elements are not fully functional, the Engineer must be notified immediately. If the Contractor is notified by the Engineer that existing TMS elements have been damaged, have failed or are not fully functional due to the Contractor's activity, the damaged or failed TMS elements, excluding structure-related elements, must be repaired or replaced, at the Contractor's expense, within 24 hours. For a structure-related elements, the Contractor must install temporary or portable TMS elements within 24 hours. For nonstructure-related TMS elements, the Engineer may authorize temporary or portable TMS elements for use during the construction activities.

The Contractor must demonstrate that repaired or replaced elements operate in a manner equal to or better than the replaced equipment. If the Contractor fails to perform required repairs or replacement work, the Department may perform the repair or replacement work and the cost will be deducted from monies due to the Contractor.

A TMS element must be considered nonoperational or off line for the duration of time that active communications with the TMC is disrupted, resulting in messages and commands not transmitted from or to the TMS element.

The Contractor must provide provisions for replacing existing TMS elements within the project limits, including detection systems, that were not identified on the plans or during the pre-construction operational status check that became damaged due to the Contractor's activities.

If the pre-construction operational status check identified existing TMS elements, then the Contractor, the Engineer, and the Department's Traffic Operations Electrical representatives must jointly conduct a post construction operational status check of all existing TMS elements and each element's communication status with the TMC. The Department's Traffic Operations Electrical representatives will certify the TMS elements' status and provide a copy of the certified list of the existing TMS elements within the project limits to the Contractor. The status list will include the operational, defined as having full functionality, and the nonoperational components. TMS elements that cease to be functional between pre and post construction status checks must be repaired at the Contractor's expense.

The Engineer will authorize the schedule for final replacement, the replacement methods and the replacement elements, including element types and installation methods before repair or replacement work is performed. The final TMS elements must be new and of equal or better quality than the existing TMS elements.

If no electrical work exists on the project and no TMS elements are identified within the project limits, the pre-construction operational status check is change order work.

Furnishing and installing temporary or portable TMS elements that are not shown, but are required when an existing TMS element becomes nonoperational or off line due to construction activities, is change order work.

Furnishing and installing temporary or portable TMS elements and replacing TMS elements that are not shown nor identified during the pre-construction operational status check and were damaged by construction activities is change order work.

If the Contractor is required to submit provisions for the replacement of TMS elements that were not identified, submitting the provisions is change order work.

**Add to section 86-2.05A:**

Conduit installed underground must be Type 3.

**Add to section 86-2.05B:**

The conduit in a foundation and between a foundation and the nearest pull box must be Type 1.

**Add to section 86-2.05C:**

If Type 3 conduit is placed in a trench, not in the pavement or under concrete sidewalk, after the bedding material is placed and the conduit is installed, backfill the trench to not less than 4 inches above the conduit with minor concrete under section 90-2, except the concrete must contain not less than 421 pounds of cementitious material per cubic yard. Backfill the remaining trench to finished grade with backfill material.

After conductors have been installed, the ends of the conduits terminating in pull boxes, service equipment enclosures, and controller cabinets must be sealed with an authorized type of sealing compound.

**Replace 1st paragraph of section 86-2.09E with:**

Splices must be insulated by "Method B."

**Delete 6th and 7th paragraphs of section 86-2.09E**

**Add to section 86-2.11A:**

Circuit breakers must be the cable-in/cable-out type mounted on non-energized clips. All circuit breakers must be mounted vertically with the up position of the handle being the "ON" position.

Each service must be provided with up to 2 main circuit breakers that will disconnect ungrounded service entrance conductors. Where the "Main" circuit breaker consists of 2 circuit breakers as described, each of the circuit breakers must have a minimum interrupting capacity of 10,000 A, rms.

**Replace section 86-2.18 with:**

**86-2.18 NUMBERING ELECTRICAL EQUIPMENT**

The placement of numbers on electrical equipment will be done by others.

**Add to section 86- 2.**

**86-2.19 NEMA 3R ENCLOSURE**

**86-2.19A General**

Each NEMA 3R enclosure will be the size as shown. The NEMA 3R enclosure must house the equipment shown.

**86-2.19B Material**

The enclosure and doors must be 0.125-inch minimum-thickness aluminum or steel.

**86-2.19C Construction****86-2.19C(1) General**

NEMA 3R must be watertight and the top crowned 1/2 inch or slanted toward the back to prevent standing water. Exterior welds must be ground smooth and edges filed to a radius of at least 0.03 inch.

**86-2.19C(1)(a) Enclosure****86-2.19C(1)(a)(i) Steel enclosure**

Enclosure manufactured from cold-rolled steel and must comply with section 86-2.16 and the following:

1. Enclosure must be finished with a polymeric or an enamel coating system matching color no. 14672 of FED-STD-595.
2. Enclosure must not have a coating loss when two 4" by 8" test specimens of the same material and coating as the cabinet are tested. Two 9-inch diagonal scratches exposing bare metal will be made on the specimen. Soak the specimen in demineralized water for 192 hours. Tightly affix a 1-inch wide strip of masking tape to the surface and remove with one quick motion. Specimen showing evidence of blistering, softening, or peeling of paint or coating from the base metal will be rejected. Testing must comply with California Test 645, except the 180-degree bend test is not required.
3. Metal must be prepared by the 3-step, iron-phosphate conversion coating bonderizing technique.
4. Inside walls, doors, and ceiling of the enclosure must be the same as the outside finish.

**86-2.19C(1)(a)(ii) Stainless steel enclosure**

Enclosure manufactured from stainless steel must comply with the following:

1. Use annealed or quarter-hard stainless steel specified in ASTM A 666, Type 304, Grades A or B.
2. Use the gas tungsten arc welding process with bare stainless steel welding electrodes. Electrodes must comply with AWS A5.9 for ER308/ER308H.
3. Procedures, welder, and welding operator must comply with requirements and practices recommended in AWS C5.5.
4. Ground or brush exposed exterior surfaces of stainless steel cabinet to a 25 to 50-microinch finish using iron-free abrasives or stainless steel brushes.
5. After grinding or brushing, cabinet must not show rust discoloration when:
  - 5.1. Exposed for 48 hours in a salt spray cabinet as specified in ASTM B 117.
  - 5.2. Exposed for 24 hours in a tap water spray cabinet with the water temperature between 38 and 45 degrees C.
6. After the test, cabinet showing rust discoloration anywhere on its surface will be rejected. Rejected cabinets may be cleaned, passivated, and resubmitted for testing.

**86-2.19C(1)(a)(iii) Aluminum enclosure**

Enclosure manufactured from aluminum sheet must comply with ASTM B 209 or B 209M for 5052-H32 aluminum sheet and the following:

1. Use the gas metal arc welding process with bare aluminum welding electrodes. Electrodes must comply with AWS A5.10 Class ER5356.
2. Procedures, welder, and welding operator for welding must comply with the requirements in AWS B3.0, "Welding Procedure and Performance Qualification," and to practices recommended in AWS C5.6.

3. Surface finish of each aluminum cabinet must comply with MIL-A-8625 for a Type II, Class I coating except anodic coating must have a minimum thickness of 0.0007 inch and a minimum coating weight of 0.001 ounce per square inch. The anodic coating must be sealed in a 5 percent aqueous solution of nickel acetate, pH 5.0 to 6.5, for 15 minutes at 97 degrees C. Before applying anodic coating, clean and etch cabinets using the steps below:
  - 3.1. Clean by immersing into an inhibited alkaline cleaner such as Oakite 61A, Diversey 909, or equal, at 71 degrees C for 5 minutes. Use 6 to 8 ounces of cleaner per gallon of distilled water.
  - 3.2. Rinse in cold water.
  - 3.3. Etch in a solution of 1-1/2 ounce of sodium fluoride and 4 to 6 ounces of sodium hydroxide per gallon of distilled water at 60 to 65 degrees C for 5 minutes.
  - 3.4. Rinse in cold water.
  - 3.5. Immerse in 50 percent by volume nitric acid solution at room temperature for 2 minutes.
  - 3.6. Rinse in cold water.

### **86-2.19C(2)(a) Enclosure parts**

Enclosure must have:

1. Single front door with:
  - 1.1. 23-inch maximum door width.
  - 1.2. Lock, when closed and latched, is locked.
2. Dust-tight gasketing on all door openings, permanently bonded to the metal. Mating surface of the gasketing must be covered with silicone lubricant to prevent sticking.
3. Handle that:
  - 3.1. Allows padlocking in closed position
  - 3.2. Has a minimum length of 7 inches
  - 3.3. Has a 5/8-inch, minimum, steel shank
  - 3.4. Is manufactured of cast aluminum or zinc- or cadmium-plated steel
4. Door frame with:
  - 4.1. Latching mechanism that:
    - 4.1.1. Holds tension on and forms a firm seal between the door gasketing and frame.
    - 4.1.2. Is a 3-point cabinet latch with nylon rollers that have a minimum diameter of 3/4 inch and equipped with ball bearings.
    - 4.1.3. Has a center catch and a pushrod made of zinc- or cadmium-plated steel. Pushrod must be at least 1/4 by 3/4 inch and turned edgewise at the outer supports. Cadmium plating must comply with MIL-QQ-416. Zinc plating must comply with MIL-QQ-325.
  - 4.2. Hinging that:
    - 4.2.1. Has 3-bolt butt hinges, each having a stainless steel fixed pin. Hinges must be stainless steel or may be aluminum for an aluminum cabinet.
    - 4.2.2. Is bolted or welded to the cabinet. Hinge pins and bolts must not be accessible when the door is closed.
    - 4.2.3. Has a catch to hold the door open at 90 and 180 degrees,  $\pm 10$  degrees, if a door is larger than 22 inches in width or 6 square feet in area. Catch must be at least a 3/8-inch-diameter, stainless-steel-plated rod capable of holding the door open at 90 degrees in a 60-mph wind at an angle perpendicular to the plane of the door.

### **86-2.19C(2)(b) Alternative enclosure**

Submit alternative design details for review and approval before manufacturing a cabinet. Use metal shelves or brackets that will support controller unit and auxiliary equipment. Machine screws and bolts must not protrude outside the cabinet wall.

### **86-2.19C(3) Door Latch**

The door latch will be either a quarter-turn latch handle with padlock hasp or a zinc-plated draw pull catch with padlock hasp.

### **86-2.19C(4) Ventilation**

Enclosure ventilation of the NEMA 3R enclosure must comply with Section 86-3.04B.

Each enclosure will be provided with louvered vents with a permanent 4-ply woven polypropylene air filter held firmly in place.

**86-2.19C(5) Enclosure Wiring**

Enclosure wiring within the NEMA 3R enclosures will be as specified in Section 86-3.04C.

**86-2.19D Payment**

Not Used.

**Add to section 86-2.**

**86-2.20 WIRELESS MODEM**

**86-2.20A General**

The wireless modem must provide wireless data transmission between the field units and the Transportation Management Center (TMC). The modem and antenna must not cause interference with other electrical equipment in the cabinet. The wireless modem must be mounted in the cabinet as directed. Cable ties, wire mounting devices and fixed diameter clamps must be used in the controller cabinet and equipment rack to avoid physical interference between cables and adjacent equipment.

You must furnish, install, integrate, test and provide warranty for all equipment and components necessary to provide complete functionality of the wireless system. The wireless modem must consist of the modem, an external antenna, antenna cable, TIA-232 serial cable, and a power adapter.

The wireless modem must meet or exceed the minimum requirements as shown in the following table:

<b>Wireless Modem</b>	
Communications	GPRS, TIA-485 and TIA-232 DTE
Wireless communications	GPRS/EDGE or 3G
Baud rate supported	1200, 2400, 4800, 9600, 19200, 28800, 38400, 57600, and 115200 bps
Serial connector	DB9M
Input voltage	10-30 V(dc)
Power consumption	1 Watt
Operating temperature	From -31 to 165 °F
Operating humidity range	From 5 to 95 %, non-condensing
Standards compliance	PCCA STD-101
Network protocols	TCP, UDP, HTTP, SNMP,FTP, Serial over IP
Persistent network connectivity	99.2 % error free operation with auto reconnect
Status LED indicators	Power, receive, transmit, RSSI (signal strength)
Network port	RJ45

**86-2.20A(1) Software Requirements**

The wireless modem must have firmware, software, hardware, and protocol features that must be fully compatible with the existing network and with the service provider. The software configuration package must be supplied for the wireless system at no extra cost. The control software configuration package must have features to provide for remote programming, remote maintenance, and system diagnostics.

**86-2.20B Quality Control and Assurance**

**86-2.20B(1) Acceptance testing**

The modem must be configured and tested remotely. Proper operation of the modem must be demonstrated by successfully configuring the modem by modifying settings, checking the signal strength, and checking for status of the TCP/IP connection. The signal strength must be within the range of -50 to -80 dBm. Perform visual check of the LED status lights to see that the LED lights are functioning properly.

**86-2.20B(2) Certificate of Compliance**

Provide a certificate of compliance from the manufacturer for all modems.

**86-2.20B(3) Warranty**

You must provide a written warranty from the manufacturer against defects in materials and workmanship for the wireless modem and assembly for a period of 24 months from the date of successful completion of acceptance testing. A completed form will be returned to you for each modem certifying that the modem has been fully functional on the date specified. Replacement of the modem must be provided within 5 days after receipt of failed wireless modem at no cost to the Department. All warranty documentation must be submitted at the time of delivery.

**86-2.20C Materials**

**86-2.20C(1) Antenna**

The external antenna must be of a low profile design with integrated ground plane for outdoor permanent mount on a metallic structure. Before permanently installing the antenna, you must conduct signal strength measurements to verify signal strength per the manufacturer requirements. The antenna must be mounted at the top of the cabinet with antenna cable routed so as not to interfere with the fan assembly. Install the antenna and apply 100-percent-clear silicon-rubber sealant.

**86-2.20C(2) TIA-232 Serial Port**

The modems must be configurable remotely through the wireless network or through the modem serial port. The modem must have the DB9M pins shown in the following table:

Modem TIA-232 Signal	DB9M Plug Connector Pin
RD	2
TD	3
RTS	7
CTS	8
Signal GND	5
DCD	1
DTR	4
DSR	6

**86-2.20D Construction**

Not Used

**86-2.20E Payment**

Not Used

**Add to section 86-2.**

**86-2.21 PHOTOVOLTAIC POWER SUPPLY**

**86-2.21A General**

Each photovoltaic (PV) power supply must include a panel support structure, photovoltaic panels, batteries, a load/charge controller, a NEMA 3R enclosure and components.

The PV power supply must consist of components designed for outdoor use, approved by the Underwriters Laboratory, and must be a grounded system.

Each PV power supply must be designed to provide 12 V(dc) to the system 24 hours per day and must be capable of operating 4 days without additional charge from the PV panels. A load/charge controller must charge the batteries during daytime while providing 12 V(dc) to the system 24 hours per day. A panel support structure must be furnished and installed to securely fasten the PV panels to the pole as shown on the plans.

The PV power supply must be designed to operate in ambient air temperatures from 14 to 140 degrees F.

Each PV power supply must include the following items:

Item	Quantity
Panel Support Structure	As shown
Photovoltaic Panels	As shown
Batteries	As shown
Charge Controller	1
NEMA 3R Enclosure	1

**86-2.21B Panel Support Structure**

The panel support structure must hold the PV panels securely on the pole at the angle shown on the plans. The PV panels must be oriented directly south to maximize the collection of solar energy. The PV panels are mounted on a system with engineering drawings indicating they will withstand 100 mph basic wind speed and 3 second gust) per AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals dated 2001.

**86-2.21C Photovoltaic Panels**

Each photovoltaic (PV) panel must meet the following requirements:

Parameter	Minimum Requirements
Peak Power Output *	80 +10 percent / - 5 % watts **
Voltage at Maximum Power	17.6 V(dc) **
Current at Maximum Power	4.5 A **
Weight	18.0 lb**
Dimensions	As shown on the plans
Hailstone Impact Resistance	1" diameter at 50 mph
Operating Temperature Range	14 to 149 °F minimum range

\* Standard Test Conditions identified a: Irradiance = 93 W/square foot, cell temperature = 77 degrees F, solar spectral irradiance per ASTM E892 (air mass = 1.5 )

\*\* Minimum Requirements are based on a 80 watt PV panel. Higher wattage PV panels up to and including peak power output of 100 watts are acceptable. The PV panels must contain single crystal or multi crystal technology for greatest efficiency and longest life. The cells must be textured and coated with an anti reflective film. These cells must be provided with multiple redundant contacts and must be electrically matched for increased efficiency. A rugged aluminum vented backing must be riveted to a aluminum panel equipped with welded aluminum brackets for attachment to the bracket arm. The PV panel assembly must pass salt tests to Mil-standard 810 and the panel assembly must provide electrical grounding of all metal surfaces. The PV panel must carry a minimum 20 year power warranty. Stainless steel hardware must be used.

### 86-2.21D Batteries

Batteries must be maintenance free, sealed, absorbed glass mat, deep cycle, and heavy duty. Each battery must meet the following requirements:

Parameter	Minimum Requirements
Voltage	12 V(dc)
Storage Capacity	84 A·h minimum at a 100 hour discharge rate
Maximum Discharge Current for 5 Seconds	500 A minimum

They must be identical in make and model. The batteries must be interconnected in parallel to provide 12 V(dc). A glass type fuse holder specified for the given model system must be included. The battery must be 100 percent recyclable and capable of a minimum of 1,000 cycles in this application.

### 86-2.21E Charge Controller

Each charge controller must regulate the voltage and current coming from the photovoltaic panels going to the batteries and to the load.

Each charge controller must use series regulation which reduces field effect transistor (FET) heating and lowers voltage stress on the power FET. A series configuration also improves protection against lightning surges and reduces switching noise. The series design improves charging accuracy. In pulse width modulation (PWM), series switching becomes self-correcting for temperature and system voltage drops.

Each charge controller must meet the following minimum requirements:

Parameter	Minimum Requirements
Rated Solar Current	30 A
Rated Load Current	30 A
System Voltage	12 / 24 V(dc)
Digital Meter	Displays Battery Voltage, Solar Current and Load Current
Connections	Screw terminals for PV Panels, Battery and Load
LED Indicators	Charging, Battery Status and Temperature Sensor
Load Disconnect Switch	Can disconnect the Load or both the Load and Solar
Self-test	Tests all Charge Controller internal circuits.
Grounding	UL Standard 1741

### 86-2.21F Submittals

Submittals for the PV power supply must comply with section 86-1.04 . Submittals must be delivered to the Engineer at least 15 working days prior to installation at the project sites. The Engineer must be allowed 5 working days for the review of the submittals. The submittals must include cut sheets for all proposed electrical components required for a PV power supply.

### 86-2.21G Documentation

Parts lists and the operating, maintenance and service instructions packages with or accompanying the equipment installed on the project must be delivered to the Engineer prior to acceptance of the project.

### 86-2.21H Warranty

All systems must carry a 2 year unconditional warranty, in addition to the 20 year power supply warranty on the PV modules.

## **86-2.21I Payment**

Not Used.

### **Add to Section 86-2:**

## **86-2.22 AUTOMATED TRAFFIC COUNTER**

### **86-2.22(1) General**

#### **86-2.22(1)(a) Summary**

The automated traffic counter (ATC) must have the capability to collect data for traffic volume, vehicle speed and vehicle classification from permanently installed sites. The ATC must be compatible with the District's traffic census central control application. The ATC must be capable of storing data, generate reports and provide outputs in suitable format to Caltrans Transportation System Network (TSN) database. Comply with section 86.

#### **86-2.22(1)(b) Submittals**

You must provide 5 copies of all user and operator manuals, technical briefs or other documentation for the unit. You must provide all accessories for the equipment installation and commissioning. You must provide all installation and operation softwares, system and unit troubleshooting diagnostic softwares.

### **86-2.22(2) Quality Control and Assurance**

#### **86-2.22(2)(a) Testing**

You must provide test equipment and documentation that the equipment meets performance specifications and accuracy requirements specified below. You must provide documentation that supports the accuracy analysis.

You must demonstrate that the traffic count station is available for use by the Department by successfully completing the acceptance test for each lane of data collection.

The test must consist of the following:

1. Detectors must be tested according to the procedures in section 86-2.14B.
2. A minimum of 100 per-vehicle records must be collected for each lane. Collected data must meet the accuracy of  $\pm 3$  percent for total volume counts.
3. Correct functioning of the communications link must be verified by collecting data files from the on-site equipment with the traffic census host computer.
4. Continuous operation of the traffic count station on-site equipment must be checked for 5 consecutive days. Failure of the system to record and store data meeting the requirements set forth in these special provisions for an accumulated time exceeding 3 hours during the 5-day period must be cause for the acceptance test to be rejected and repeated.
5. Failure of the ATC to perform any application required in these special provisions must be cause for the acceptance test to be rejected and repeated.

#### **86-2.22(2)(b) ATC Functional Acceptance**

To be considered fully functional, an individual ATC unit must:

1. Collect data locally and remotely meeting the accuracy specifications for a minimum of 30 continuous days
2. Successfully process downloaded files for input in Caltrans TSN database

#### **86-2.22(2)(c) Warranty**

You must provide a written warranty of the manufacturer against defects in materials and workmanship of the equipments for 2 years from the date of successful completion of acceptance testing. A completed form will be returned to you for each unit certifying that the unit has been fully functional on the date specified.

Replacement of the defective equipment must be provided within 5 days after receipt of failed equipment at no cost to the Department.

### **86-2.22(3) Materials**

#### **86-2.22(3)(a) ATC Hardware Requirements**

ATC must meet the provisions for connecting the following inputs:

1. 8 inputs for piezoelectric axle sensors
2. 16 inputs for inductive loop detectors

#### **86-2.22(3)(b) ATC Requirements**

The ATC must meet the following specifications:

1. Construction - All traffic data collection equipment and accessories must be of solid state construction with no moving or wearing parts, exclusive of switches and keypads.
2. Configuration - Must have a liquid crystal display (LCD) panel display with keypad capable of configuring ATC.
3. Operating Temperature Range - From -40 to +158 degrees F.
4. Noise - Equipment must be resistant to electromagnetic noise, electrostatic discharges, and induced power supply fluctuations. The signal-to-noise level must be equal to or greater than 10:1.
5. Lane/Direction - Equipment must be capable of sensing, collecting, and recording data by lane. Number and direction of lanes must be user configurable.
6. Internal Clock - The equipment must have continuous date (corrected for leap years) and time (24 hour). The internal clock must continue to keep the correct time even when the primary battery is completely discharged or disconnected.
7. Loop Separation - The loop sensor separation (spacing - leading edge to leading edge) must be a user-programmable parameter by lane.
8. Memory Retention During Power Loss - Data stored in memory must not be lost when the battery of the unit is completely discharged or disconnected.
9. Data Overwrite - The counter must provide RAM memory that utilizes first-in, first-out (FIFO), also known as wrap around, so that when memory is filled the most recent observations replace the oldest observations.
10. Vehicle Density - The maximum vehicle density measured by the ATC must be three vehicles per lane per second.
11. Operating Speed Range - The operating speed range of the unit must be from 5 to 95 miles per hour.
12. Count Storage Capacity - The unit must be able to detect, count, measure speed and classify at least 3,600 vehicles per lane per hour.
13. Memory Capacity - The internal RAM of the unit must be capable of storing a minimum of 45 days of data in hourly interval or bins, in a configuration that stores data for at least eight lanes.
14. Time Intervals - Time intervals must be user programmable with intervals of 30 seconds, 1 minute, 5 minute, 10 minutes, 15 minutes, 60 minutes and 24 hours.

#### **86-2.22(3)(c) ATC Power**

The ATC must be powered by 120 V(ac) with integrated 12 V(dc) battery backup or a 12 V(dc) photovoltaic power supply.

#### **86-2.22(3)(d) Accessories**

You must provide all accessories that are necessary for making the equipment fully functional and tested. You must provide the ATC to sensor a port cable for each port on the ATC.

#### **86-2.22(3)(e) ATC Software Requirements**

You must provide all communication software. The software must be compatible with all existing ATC. Access to stored data in the ATC must be available through personal computers, both laptop and desktop with Windows XP, Windows 7, or newer operating system via standard TIA-232 interface. Remote access must be available through a modem, either hard wired or wireless.

Communications, either in the field or from the office, via direct connection or wireless modem must support all programmable features and must include the following applications:

1. Real Time View - The real time view application must provide for on-line monitoring of traffic. The display on the traffic census host computer must indicate the number of vehicles passing within the time interval and update each passage. If programmed for vehicle classification, the display on the traffic census host computer must depict the axle type and speed of each vehicle passing through the site. The user must have the option of displaying either all traffic or only vehicle classifications as well as the option of displaying a selected individual lane or all lanes.
2. System Data Programming - The system data programming application must provide for on-line modification to the ATC's software parameters, such as speed, axle spacing factors, loop and piezo sensitivity settings. System must be password protected.
3. Manual Downloading - The manual downloading application must be capable of downloading selected daily data files from the storage medium of the ATC to the storage medium of the traffic census host computer. The program must provide for a listing of the daily data files stored in the ATC and must provide for user selection of the file or files to be downloaded. The program must provide for the downloading of the current day's data stored as of the time of downloading.
4. Automatic Downloading - When required, the automatic downloading application must provide for unattended downloading of daily data files stored in the ATC's storage medium to the traffic census host computer. The program must provide the following:
  - 4.1. User's input for the date and time that unattended downloading is to begin.
  - 4.2. Downloading of all daily files not previously downloaded by the automatic downloading application.
  - 4.3. Program must indicate when any interrupted or incomplete file download has occurred.
  - 4.4. Discontinuation of telephone connection after downloading of files from the ATC (or after an abort) and returning the traffic census host computer to a standby mode. The polling feature in communications software must support a telephone directory with a minimum of 200 sites where the user can add, change, or delete any data in a directory record.
5. History file - The history file application must create a daily file that chronologically records the events occurring during manual and automatic downloading sessions. Such events must include, but not be limited to, modem result messages, and start and end time of each file being downloaded and any pertinent messages generated by the program. The programming must provide either:
  - 5.1. The history file must be in the form of an ASCII text file which can be viewed or sent to the printer or,
  - 5.2. A menu selection which must provide for a listing of available history files and user selection of a file to be sent to the printer in the form of a report.

Retrieved data must include information to produce the data formats specified in Attachment 1, 2, and 3 "ASCII Speed and Classification Formats."

The communications portion of the system program must meet the following functional requirements:

1. Baud Rate - The programming will provide for operation at a minimum baud rate of 9,600.
2. Error Control - The program must not in any way disable the modem's error-checking features, which prevent phone-line noise from corrupting data during file downloading.
3. File Downloading Monitoring - The program must display a window that allows the user to monitor the progress of file downloading. The program must also provide for the abort of a file download.

#### **86-2.22(4) Construction**

Not Used

#### **86-2.22(5) Payment**

Not Used

## ATTACHMENTS

### Attachment 1

#### ASCII SPEED FILE FORMAT

Field	Length (characters)	Starts in Column
Lane	2	1
Hour	2	4
Count, from 0 to 35 mph	4	7
Count, from 36 to 40 mph	4	12
Count, from 41 to 45 mph	4	17
Count, from 46 to 50 mph	4	22
Count, from 51 to 55 mph	4	27
Count, from 56 to 60 mph	4	32
Count, from 61 to 65 mph	4	37
Count, from 66 to 70 mph	4	42
Count, from 71 to 75 mph	4	47
Count, from 76 to 80 mph	4	52
Count, from 81 to 85 mph	4	57
Count, greater than 86 mph	4	62

#### ASCII CLASSIFICATION FILE FORMAT

Field	Length (characters)	Starts in Column
Lane	2	1
Hour	2	4
Count, Class 1	4	7
Count, Class 2	4	12
Count, Class 3	4	17
Count, Class 4	4	22
Count, Class 5	4	27
Count, Class 6	4	32
Count, Class 7	4	37
Count, Class 8	4	42
Count, Class 9	4	47
Count, Class 10	4	52
Count, Class 11	4	57
Count, Class 12	4	62
Count, Class 13	4	67
Count, Class 14	4	72
Count, Class 15	4	77

Attachment 2

Caltrans Total Vehicle Load Format

Columns	Length (characters)	Char/Num	Name	Interface Notes
1	1	N	Record Type	Default - 3
2-3	2	N	FIPS State Code	Default - 06
4-5	2	N	Functional Class	Input from lookup table columns 54-55
6-10	5	N	Site Identification	Input from lookup field "TSN," if columns 8-10=--go to column 141 of Load Format and write data from Lookup Table Fields: District, County, Route, Route Suffix, Postmile Prefix, Postmile, Highway Group, Leg.
11	1	N		Not used
12	1	N	Direction of Travel	Input "Direction" from Lookup Table; N=1, S=5, E=3, W=7
13	1	N	Lane of Travel	Channel from traffic counter file
14-15	2	N	Year of Data	
16-17	2	N	Month of Data	
18-19	2	N	Day of Data	
20	1	N	Day of Week	1=Sun, 2=Mon, 3=Tues, 4=Wed, 5=Thurs, 6=Fri, 7=Sat
21-25	5	N	Traffic Counted for hour ending 01 (Midnight to 1 AM)	From traffic counter file; Null Fields for no counts
26-140	5	N	Columns 21-25 repeated (hour 2 to hour 24)	From traffic counter file; Null Fields for no counts
141-142	2	N	District	If columns 8-10= -- write "District" from Lookup Table
143-145	3	C	County	If columns 8-10= -- write "County" from Lookup Table
146-148	3	N	Route	If columns 8-10= -- write "Route" from Lookup Table
149	1	C	Route Suffix	If columns 8-10= -- write "Route Suffix" from Lookup Table
150	1	C	Postmile Prefix	If columns 8-10= -- write "Postmile Prefix" from Lookup Table
151-156	6	N	Postmile	If columns 8-10= -- write "Postmile" from Lookup Table
157	1	A	Highway Group	If columns 8-10= -- write "Highway Group" from Lookup Table
158	1	A	Leg	If columns 8-10= -- write "Leg" from Lookup Table

**Attachment 3**

MS Access TSN Location Load Lookup Table Definition

Columns	Length (characters)	Char/Num	Name	Interface Notes
1	15	C/N	Site Identification from Traffic Counter	
16	38	N	Channels from Traffic Counter	Channels separated by commas
54	2	N	Functional Class	Write to columns 4-5 of Total Vehicle Load Format
56	5	N	TSN Site Identification	Match with Site ID from counter and write to columns 6-10 of Total Vehicle Load Format or columns 4-8 of Vehicle Class Load
61	16	N	TSN Direction of Travel	Match with channels set in counter and write to column 12 of Total Vehicle Load Format or column 10 of Vehicle Class Load
77	2	N	District	Write to columns 141-142 of Total Vehicle Load or columns 100-101 of Vehicle Class Load
79	3	C	County	Write to columns 143-145 of Total Vehicle Load or columns 102-104 of Vehicle Class Load
82	3	N	Route	Write to columns 146-148 of Total Vehicle Load or columns 105-107 of Vehicle Class Load
85	1	C	Route Suffix	Write to column 149 of Total Vehicle Load or column 108 of Vehicle Class Load
86	1	C	Postmile Prefix	Write to column 150 of Total Vehicle Load or column 109 of Vehicle Class Load
87	6	N	Postmile	Write to columns 151-156 of Total Vehicle Load or columns 110-115 of Vehicle Class Load
93	1	A	Highway Group	Write to column 157 of Total Vehicle Load or column 116 of Vehicle Class Load
94	1	A	Leg	Write to column 158 of Total Vehicle Load or column 117 of Vehicle Class Load
20-24	5	N	Total Volume	
25-29	5	N	Count for Class 1	From traffic counter file; Null Fields for no counts
30-99	5	N	Columns 25-29 repeated for Class 2 to Class 15	From traffic counter file; Null Fields for no counts
100-101	2	N	District	If columns 6-8= -- write "District" from Lookup Table
102-104	3	C	County	If columns 6-8= -- write "County" from Lookup Table
105-107	3	N	Route	If columns 6-8= -- write "Route" from Lookup Table
108	1	C	Route Suffix	If columns 6-8= -- write "Route Suffix" from Lookup Table
109	1	C	Postmile Prefix	If columns 6-8= -- write "Postmile Prefix" from Lookup Table
110-115	6	N	Postmile	If columns 6-8= -- write "Postmile" from Lookup Table
116	1	A	Highway Group	If columns 6-8= -- write "Highway Group" from Lookup Table
117	1	A	Leg	If columns 6-8= -- write "Leg" from Lookup Table

**Add to Section 86-2:**

**86-2.23 Piezo Axle Sensors**

**86-2.23(1) General**

**86-2.23(1)(a) Summary**

Piezo axle sensors includes a completely installed sensor or group of sensors, in the roadway, with screened transmission cables installed in an enclosure.

**86-2.23(1)(b) Submittals**

Submit the following before shipping piezo axle sensors:

1. Delivery form including Contract number and contact information
2. Manufacturer's name, contact information, and installation instructions

Submit a certificate of compliance.

**86-2.23(1)(c) Warranty**

You must provide a written warranty of the manufacturer against defects in materials and workmanship of the equipments for a minimum of 2 years. The warranty will include repair or replacement of defective components. The warranty for each unit will begin when the equipment is installed and commissioned in the field and is fully functional. A completed form will be returned to you for each unit certifying that the unit has been fully functional on the date specified.

**86-2.23(1)(d) Quality Control and Assurance**

**86-2.23(1)(d)(i) Functional Testing**

Piezo axle sensors are tested by the Department after installation. You must provide replacement parts that failed the functional test, reinstall and retested.

**86-2.23(2) Materials**

**86-2.23(2)(a) Piezo Axle Sensors**

Piezo axle sensors must comply with the requirements shown in the following table:

Characteristic	Requirement
Sensor	Class II for vehicle classification; Compatible with existing counters and classifiers
Output uniformity range	±20 %
Operating temperature range	-40 to +158 °F
Typical output level	A wheel load of 400 pounds will produce a minimum output signal of 250 mV, at 70 °F and 55 mph
Signal-to-noise level	Equal to or greater than 10:1
Insulation resistance	>500 MΩ
Product life	Minimum 25 million equivalent single axle loadings (ESAL)

Piezo axle sensors must be a piezo copolymer in a thin brass tubing. Outside dimension of the sensor must be 1/4-inch wide by 1/16-inch thick. Sensors must be 6 feet in length with hermetically sealed, continuous, unspliced screened transmission cable attached.

**86-2.23(2)(b) Screened Transmission Cable (STC)**

STC must be RG-58C/U coaxial cable, jacketed with high-density polyethylene, rated for direct burial and resistant to nicks and cuts.

STC length must reach the TMS enclosure without any splices and have ten feet of slack. Coil the excess STC in the TMS enclosure. STC terminations are made by the Department.

### **86-2.23(3) Construction**

Install piezo axle sensors in an array of 2 inductive loop detector and 1 piezo axle sensors per lane.

Install piezo axle sensors and STC in conformance with the manufacturer's recommendations. Saw cuts in the pavement for axle sensors and STC must conform to the following:

1. Saw cuts must be cleaned with compressed air to remove all excess moisture and debris. For repairing damaged saw cuts, all loose spalled material must be cleaned away from the saw cut, chipping back to sound asphalt concrete or concrete pavement, and cleaned away.
2. Residue from slot cutting activities must not be allowed to flow across shoulders or lanes occupied by traffic and must be removed from the pavement surface before residue flows off. Dispose of residue from slot cutting activities.
3. Before setting, surplus sealant must be removed from the adjacent road surface without using solvents.

Fill piezo axle sensor slots with epoxy grout sealant. The sealant temperature must not exceed 169 degrees F while curing and must be adequately set before reopening the lane to public traffic.

Fill STC slots with elastomeric sealant. Elastomeric sealant must conform to section 86-5.01A(3)(a).

### **86-2.23(3)(a) Epoxy Grout Sealant**

Epoxy grout sealant must be Global Resins PU200, International Road Dynamics AS 475, or equivalent.

### **86-2.23(4) Payment**

Not Used

### **Add to section 86-3.04:**

Cabinet must be Model 334L and consist of a housing (B), a mounting cage 1, and the following listed equipment. The equipment must comply with chapter 6 of TEES.

1. Service panel no. 1
2. Power distribution assembly no. 3
3. Input file (I file)
4. C1 harness
5. Controller and equipment shelves
6. Dual fan assembly with thermostatic control
7. Mechanical armature-type relays
8. Input panel

Before shipping to the job site, submit each 334L cabinet to METS for acceptance testing.

Notify the Engineer when each 334L cabinet is ready for functional testing. Functional testing will be conducted by the Department.

Each power distribution assembly must include the following equipment:

1. Two duplex NEMA 5-15R controller receptacle (rear mount)
2. One 30 A, 1-pole, 120 V(ac) main circuit breaker
3. Three 15 A, 1-pole, 120 V(ac) circuit breaker
4. One duplex GFCI NEMA 15 A, receptacle (front mount)

Furnish 3 shelves as shown. Each shelf must be attached to the tops of 2 supporting angles with 4 screws. Supporting angles must extend from the front to the back rails. The front of the shelf must abut the front member of the mounting cage. Arrange shelves as shown. The angles must be designed to support a minimum of 50 pounds each. The horizontal side of each angle must be a minimum of 3 inches. The angles must be vertically adjustable.

Furnish 3 terminal blocks as shown. Terminal blocks must comply with Chapter 6 of TEES, except the screw size must be 8-32.

Furnish a maintenance manual or a combined maintenance and operation manual for all controller units, auxiliary equipment, vehicle detector sensor units, control units, and amplifiers. Submit manual when the controllers are delivered for testing or, if ordered by the Engineer, before purchasing. The manual must include the following:

1. Specifications
2. Design characteristics
3. General operation theory
4. Function of all controls
5. Troubleshooting procedure (diagnostic routine)
6. Block circuit diagram
7. Geographical layout of components
8. Schematic diagrams
9. List of replaceable component parts with stock numbers

**Add to section 86-5.01A(1):**

Loop wire must be Type 2.

Loop detector lead-in cable must be Type B.

Slots must be filled with hot-melt rubberized asphalt sealant.

The depth of the loop sealant above the top of the uppermost loop wire in the sawed slots must be 2 inches, minimum.

**REVISED STANDARD SPECIFICATIONS  
APPLICABLE TO THE 2010 EDITION  
OF THE STANDARD SPECIFICATIONS**



# REVISED STANDARD SPECIFICATIONS DATED 10-19-12

Revised standard specifications are under headings that correspond with the main-section headings of the *Standard Specifications*. A main-section heading is a heading shown in the table of contents of the *Standard Specifications*. A date under a main-section heading is the date of the latest revision to the section.

Each revision to the *Standard Specifications* begins with a revision clause that describes a revision to the *Standard Specifications* or introduces a revision to the *Standard Specifications*. For a revision clause that describes a revision, the date on the right above the clause is the publication date of the revision. For a revision clause that introduces a revision, the date on the right above a revised term, phrase, clause, paragraph, or section is the publication date of the revised term, phrase, clause, paragraph, or section. For a multiple-paragraph or multiple-section revision, the date on the right above a paragraph or section is the publication date of the paragraphs or sections that follow.

Any paragraph added or deleted by a revision clause does not change the paragraph numbering of the *Standard Specifications* for any other reference to a paragraph of the *Standard Specifications*.

## DIVISION I GENERAL PROVISIONS

### 1 GENERAL

10-19-12

**Replace "current" in the 2nd paragraph of section 1-1.05 with:**

most recent

04-20-12

**Add to the 4th paragraph of section 1-1.05:**

04-20-12

Any reference directly to a revised standard specification section is for convenience only. Lack of a direct reference to a revised standard specification section does not indicate a revised standard specification for the section does not exist.

**Add to the 1st table in section 1-1.06:**

10-19-12

TRO	time-related overhead
-----	-----------------------

06-20-12

**Delete the abbreviation and its meaning for *UDBE* in the 1st table of section 1-1.06.**

10-19-12

**Delete "Contract completion date" and its definition in section 1-1.07B.**

10-19-12

**Delete "critical delay" and its definition in section 1-1.07B.**

**Replace "day" and its definition in section 1-1.07B with:**

10-19-12

**day:** 24 consecutive hours running from midnight to midnight; calendar day.

1. **business day:** Day on the calendar except a Saturday and a holiday.
2. **working day:** Time measure unit for work progress. A working day is any 24-consecutive-hour period except:
  - 2.1. Saturday and holiday.
  - 2.2. Day during which you cannot perform work on the controlling activity for at least 50 percent of the scheduled work shift with at least 50 percent of the scheduled labor and equipment due to any of the following:
    - 2.2.1. Adverse weather-related conditions.
    - 2.2.2. Maintaining traffic under the Contract.
    - 2.2.3. Suspension of a controlling activity that you and the Engineer agree benefits both parties.
    - 2.2.4. Unanticipated event not caused by either party such as:
      - 2.2.4.1. Act of God.
      - 2.2.4.2. Act of a public enemy.
      - 2.2.4.3. Epidemic.
      - 2.2.4.4. Fire.
      - 2.2.4.5. Flood.
      - 2.2.4.6. Governor-declared state of emergency.
      - 2.2.4.7. Landslide.
      - 2.2.4.8. Quarantine restriction.
    - 2.2.5. Issue involving a third party, including:
      - 2.2.5.1. Industry or area-wide labor strike.
      - 2.2.5.2. Material shortage.
      - 2.2.5.3. Freight embargo.
      - 2.2.5.4. Jurisdictional requirement of a law enforcement agency.
      - 2.2.5.5. Workforce labor dispute of a utility or nonhighway facility owner resulting in a nonhighway facility rearrangement not described and not solely for the Contractor's convenience. Rearrangement of a nonhighway facility includes installation, relocation, alteration, or removal of the facility.
  - 2.3. Day during a concurrent delay.
3. **original working days:**
  - 3.1. Working days to complete the work shown on the *Notice to Bidders* for a non-cost plus time based bid.
  - 3.2. Working days bid to complete the work for a cost plus time based bid.

Where working days is specified without the modifier "original" in the context of the number of working days to complete the work, interpret the number as the number of original working days as adjusted by any time adjustment.

**Replace "Contract" in the definition of "early completion time" in section 1-1.07B with:**

10-19-12

work

**Replace "excusable delay" and its definition in section 1-1.07B with:**

10-19-12

**delay:** Event that extends the completion of an activity.

1. **excusable delay:** Delay caused by the Department and not reasonably foreseeable when the work began such as:
  - 1.1. Change in the work
  - 1.2. Department action that is not part of the Contract



01-20-12  
**Add a paragraph break between the 1st and 2nd sentences of the 5th paragraph of section 2-1.06B.**

**Add between "and" and "are" in item 2 in the list in the 7th paragraph of section 2-1.06B:**

they

04-20-12

06-20-12  
**Delete "Underutilized" in "Underutilized Disadvantaged Business Enterprises" in the heading of section 2-1.12B.**

06-20-12  
**Delete *U* in *UDBE* at each occurrence in section 2-1.12B.**

**Replace the 2nd paragraph of section 2-1.12B(1) with:**

06-20-12  
To ensure equal participation of DBEs provided in 49 CFR 26.5, the Department shows a goal for DBEs.

06-20-12  
**Delete the 3rd paragraph of section 2-1.12B(1):**

**Replace the 7th paragraph of section 2-1.12B(1) with:**

06-20-12  
All DBE participation will count toward the Department's federally-mandated statewide overall DBE goal.

**Replace "offered" at the end of the 2nd sentence of item 7 in the list of 2nd paragraph of section 2-1.12B(3) with:**

provided

06-20-12

01-20-12  
**Delete the 2nd paragraph of section 2-1.33A.**

**Replace the 3rd paragraph of section 2-1.33A with:**

01-20-12  
Except for each subcontracted bid item number and corresponding percentage and proof of each required SSPC QP certification, do not fax submittals.

**Add to section 2-1.33C:**

10-19-12  
On the *Subcontractor List*, you must either submit each subcontracted bid item number and corresponding percentage with your bid or fax these numbers and percentages to (916) 227-6282 within 24 hours after bid opening. Failure to do so results in a nonresponsive bid.



**Add to the list in the 4th paragraph of section 5-1.09A:**

9. Considering discussing with and involving all stakeholders in evaluating potential VECsPs

10-19-12

**Add to the end of item 1.1 in the list in the 7th paragraph of section 5-1.09A:**

, including VECsPs

10-19-12

**Replace the 1st paragraph of section 5-1.09C with:**

For a contract with a total bid over \$10 million and 100 or more working days, training in partnering skills development is required.

10-19-12

**Delete the 2nd paragraph of section 5-1.09C.**

10-19-12

**Replace "at least 2 representatives" in the 5th paragraph of section 5-1.09C with:**

field supervisory personnel

10-19-12

**Replace the 1st and 2nd sentences in the 7th paragraph of section 5-1.13B(1) with:**

If a DBE is decertified before completing its work, the DBE must notify you in writing of the decertification date. If a business becomes a certified DBE before completing its work, the business must notify you in writing of the certification date.

06-20-12

**Replace "90" in the last sentence of the 7th paragraph of section 5-1.13B(1) with:**

30

06-20-12

**Replace "Underutilized" in "Underutilized Disadvantaged Business Enterprises" in the heading of section 5-1.13B(2) with:**

Performance of

06-20-12

**Delete *U* in *UDBE* at each occurrence in section 5-1.13B(2).**

06-20-12

**Replace the 3rd paragraph of section 5-1.13B(2) with:**

Do not terminate or substitute a listed DBE for convenience and perform the work with your own forces or obtain materials from other sources without authorization from the Department.

06-20-12

**Replace item 6 in the list in the 4th paragraph of section 5-1.13B(2) with:**

6. Listed DBE is ineligible to work on the project because of suspension or debarment.

06-20-12

**Add to the list in the 4th paragraph of section 5-1.13B(2):**

8. Listed DBE voluntarily withdraws with written notice from the Contract.  
9. Listed DBE is ineligible to receive credit for the type of work required.  
10. Listed DBE owner dies or becomes disabled resulting in the inability to perform the work on the Contract.  
11. Department determines other documented good cause.

06-20-12

**Add between the 4th and 5th paragraphs of section 5-1.13B(2):**

Notify the original DBE of your intent to use other forces or material sources and provide the reasons. Provide the DBE with 5 days to respond to your notice and advise you and the Department of the reasons why the use of other forces or sources of materials should not occur. Your request to use other forces or material sources must include:

07-20-12

1. 1 or more of the reasons listed in the preceding paragraph
2. Notices from you to the DBE regarding the request
3. Notices from the DBE to you regarding the request

**Add between "terminated" and ", you" in the 5th paragraph of section 5-1.13B(2):**

or substituted

07-20-12

**Replace "Contract" in item 1 in the list in the 5th paragraph of section 5-1.13C with:**

work

10-19-12

**Replace "Reserved" in section 5-1.20C with:**

If the Contract includes an agreement with a railroad company, the Department makes the provisions of the agreement available in the *Information Handout* in the document titled "Railroad Relations and Insurance Requirements." Comply with the requirements in the document.

10-19-12

**Add between the 2nd and 3rd paragraphs of section 5-1.23A:**

Submit action and informational submittals to the Engineer.

10-19-12

**Add to section 5-1.36C:**

If the Contract does not include an agreement with a railroad company, do not allow personnel or equipment on railroad property.

07-20-12

Prevent material, equipment, and debris from falling onto railroad property.



**FHWA-1273 Nondiscrimination Clauses**

FHWA-1273 section	FHWA-1273 clause	Department clause
Training and Promotion	In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.	If section 7-1.11D applies, section 7-1.11D supersedes this subparagraph.
Records and Reports	If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.	If the Contract requires on-the-job training, collect and report training data.

**Replace the form in section 7-1.11B with:**

07-20-12

**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

**ATTACHMENTS**

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

**II. NONDISCRIMINATION**

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

**I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

**1. Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under

this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

**6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are

applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar

with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

**10. Assurance Required by 49 CFR 26.13(b):**

a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor

will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions

of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b.(1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or

will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program. Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

## 2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

## 3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-

Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b.(1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### 4. Apprentices and trainees

##### a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly

rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

##### b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

**6. Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

**7. Contract termination; debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

**9. Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.**

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

**V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT**

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

**3. Withholding for unpaid wages and liquidated damages.** The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

**4. Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

## VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

(1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;

(2) the prime contractor remains responsible for the quality of the work of the leased employees;

(3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and

(4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is

evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

## VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

## VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

#### **IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

#### **X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

##### **1. Instructions for Certification – First Tier Participants:**

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this

covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\*\*\*\*\*

## **2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

## **2. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers to any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the

department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\*\*\*\*\*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\*\*\*\*\*

**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.



**Replace "Contract" in the 3rd paragraph of section 8-1.02D(2) with:**

10-19-12

work

**Replace "Contract" in item 9 in the list in the 4th paragraph of section 8-1.02D(4) with:**

10-19-12

work

**Replace "Contract completion" in the 4th paragraph of section 8-1.02D(6) with:**

10-19-12

work completion

**Replace "Contract working days" in the 4th paragraph of section 8-1.02D(6) with:**

10-19-12

original working days

**Delete items 1.3 and 1.4 in the list in the 1st paragraph of section 8-1.02D(10).**

04-20-12

**Replace the last paragraph of section 8-1.04B with:**

10-19-12

The Department does not adjust time for starting before receiving notice of Contract approval.

**Replace the 1st paragraph of section 8-1.05 with:**

10-19-12

Contract time starts on the last day specified to start job site activities in section 8-1.04 or on the day you start job site activities, whichever occurs first.

**Replace the 2nd paragraph of section 8-1.05 with:**

10-19-12

Complete the work within the Contract time.

**Delete "unless the Contract is suspended for reasons unrelated to your performance" in the 4th paragraph of section 8-1.05.**

10-19-12

**Replace the headings and paragraphs in section 8-1.06 with:**

10-19-12

The Engineer may suspend work wholly or in part due to conditions unsuitable for work progress. Provide for public safety and a smooth and unobstructed passageway through the work zone during the suspension as specified under sections 7-1.03 and 7-1.04. Providing the passageway is force account work. The Department makes a time adjustment for the suspension due to a critical delay.

The Engineer may suspend work wholly or in part due to your failure to (1) fulfill the Engineer's orders, (2) fulfill a Contract part, or (3) perform weather-dependent work when conditions are favorable so that weather-related unsuitable conditions are avoided or do not occur. The Department may provide for a



Delete ", Huntington Beach," in the 3rd paragraph of section 9-1.07A.

04-20-12

Replace the formula in section 9-1.07B(2) with:

04-20-12

$$Qh = HMATT \times Xa$$

Replace "weight of dry aggregate" in the definition of the variable *Xa* in section 9-1.07B(2) with:

04-20-12

total weight of HMA

Replace the formula in section 9-1.07B(3) with:

04-20-12

$$Qrh = RHMATT \times 0.80 \times Xarb$$

Replace "weight of dry aggregate" in the definition of the variable *Xarb* in section 9-1.07B(3) with:

04-20-12

total weight of rubberized HMA

Replace the heading of section 9-1.07B(4) with:

04-20-12

Hot Mix Asphalt with Modified Asphalt Binder

Add between "in" and "modified" in the introductory clause of section 9-1.07B(4):

04-20-12

HMA with

Replace the formula in section 9-1.07B(4) with:

04-20-12

$$Qmh = MHMATT \times [(100 - Xam) / 100] \times Xmab$$

Replace "weight of dry aggregate" in the definition of the variable *Xmab* in section 9-1.07B(4) with:

04-20-12

total weight of HMA

Replace the formula in section 9-1.07B(5) with:

04-20-12

$$Qrap = HMATT \times Xaa$$

Replace "weight of dry aggregate" in the definitions of the variables *Xaa* and *Xfa* in section 9-1.07B(5) with:

04-20-12

total weight of HMA

**Add after the variable definitions in section 9-1.07B(9):**

04-20-12

The quantity of extender oil is included in the quantity of asphalt.

**Replace the headings and paragraphs in section 9-1.11 with:**

10-19-12

**9-1.11A General**

Section 9-1.11 applies if a bid item for time-related overhead is included in the Contract. If a bid item for time-related overhead is included, you must exclude the time-related overhead from every other bid item price.

**9-1.11B Payment Quantity**

The TRO quantity does not include the number of working days to complete plant establishment work.

For a contract with a TRO lump sum quantity on the Bid Item List, the Department pays you based on the following conversions:

1. LS unit of measure is replaced with WDAY
2. Lump sum quantity is replaced with the number of working days bid
3. Lump sum unit price is replaced with the item total divided by the number of working days bid

**9-1.11C Payment Inclusions**

Payment for the TRO bid item includes payment for time-related field- and home-office overhead for the time required to complete the work.

The field office overhead includes time-related expenses associated with the normal and recurring construction activities not directly attributed to the work, including:

1. Salaries, benefits, and equipment costs of:
  - 1.1. Project managers
  - 1.2. General superintendents
  - 1.3. Field office managers
  - 1.4. Field office staff assigned to the project
2. Rent
3. Utilities
4. Maintenance
5. Security
6. Supplies
7. Office equipment costs for the project's field office

The home-office overhead includes the fixed general and administrative expenses for operating your business, including:

1. General administration
2. Insurance
3. Personnel and subcontract administration
4. Purchasing
5. Accounting
6. Project engineering and estimating

Payment for the TRO bid item does not include payment for:

1. The home-office overhead expenses specifically related to:
  - 1.1. Your other contracts or other businesses
  - 1.2. Equipment coordination
  - 1.3. Material deliveries
  - 1.4. Consultant and legal fees

2. Non-time-related costs and expenses such as mobilization, licenses, permits, and other charges incurred once during the Contract
3. Additional overhead involved in incentive/disincentive provisions to satisfy an internal milestone or multiple calendar requirements
4. Additional overhead involved in performing additional work that is not a controlling activity
5. Overhead costs incurred by your subcontractors of any tier or suppliers

**9-1.11D Payment Schedule**

For progress payments, the total work completed for the TRO bid item is the number of working days shown for the pay period on the *Weekly Statement of Working Days*.

For progress payments, the Department pays a unit price equal to the lesser of the following amounts:

1. Price per working day as bid or as converted under section 9-1.11B.
2. 20 percent of the total bid divided by the number of original working days

For a contract without plant establishment work, the Department pays you the balance due of the TRO item total as specified in section 9-1.17B.

For a contract with plant establishment work, the Department pays you the balance due of the TRO item total in the 1st progress payment after all non-plant establishment work is completed.

**9-1.11E Payment Adjustments**

The 3rd paragraph of section 9-1.17C does not apply.

The Department does not adjust the unit price for an increase or decrease in the TRO quantity except as specified in section 9-1.11E.

Section 9-1.17D(2)(b) does not apply except as specified for the audit report below.

If the TRO bid item quantity exceeds 149 percent of the quantity shown on the Bid Item List or as converted under section 9-1.11B, the Engineer may adjust or you may request an adjustment of the unit price for the excess quantity. For the adjustment, submit an audit report within 60 days of the Engineer's request. The report must be prepared as specified for an audit report for an overhead claim in section 9-1.17D(2)(b).

Within 20 days of the Engineer's request, make your financial records available for an audit by the State for the purpose of verifying the actual rate of TRO described in your audit. The actual rate of TRO described is subject to the Engineer's authorization.

The Department pays the authorized actual rate for TRO in excess of 149 percent of the quantity shown on the Bid Item List or as converted under section 9-1.11B.

The Department pays for 1/2 the cost of the report; the Contractor pays for the other 1/2. The cost is determined under section 9-1.05.

**Delete "revised Contract" in item 1 of the 1st paragraph of section 9-1.16E(2).**

10-19-12

**Replace "2014" in the 1st paragraph of section 9-1.16F with:**

2020

10-19-12

**Replace the 2nd paragraph of section 9-1.17C with:**

Submit either a written acceptance of the proposed final estimate or a claim statement postmarked or hand delivered before the 31st day after receiving the proposed final estimate.

10-19-12





Department's general permit may be viewed at the Web site for the State Water Resources Control Board, Storm Water Program, Caltrans General Permit.

**Add to the list in the 1st paragraph of section 13-1.01D(3)(b):**

3. Have completed SWRCB approved QSD training and passed the QSD exam

10-21-11

**Add to the list in the 2nd paragraph of section 13-1.01D(3)(b):**

3. Have completed SWRCB approved QSP training and passed the QSP exam

10-21-11

**Replace "working days" at each occurrence in section 13-3.04 with.**

original working days

10-19-12

**Replace the paragraph in section 13-4.04 with:**

Not Used

04-20-12

**Delete "or stockpile" in the 3rd paragraph of section 13-5.02F.**

10-19-12

**Replace section 13-5.03F with:**

**13-5.03F Reserved**

04-20-12

**Delete "or stockpile" in item 1 in the list in the 1st paragraph of section 13-5.03K.**

10-19-12

**Delete the 3rd paragraph of section 13-5.03K.**

10-19-12

**Replace the 2nd sentence in the 1st paragraph of section 13-9.01A with:**

You may use any of the following systems for temporary concrete washout:

10-19-12

1. Temporary concrete washout facility
2. Portable temporary concrete washout
3. Temporary concrete washout bin

**Replace the 2nd paragraph of section 13-9.01B with:**

Retain and submit an informational submittal for records of disposed concrete waste.

10-19-12





For ground anchor walls, a wall zone is the entire wall unless otherwise specified in the special provisions.

**Delete the 2nd sentence in the 4th paragraph of section 19-3.01A(3)(b).**

01-20-12

**Replace the 1st paragraph of section 19-3.03E(3) with:**

Compact structure backfill behind lagging of soldier pile walls by hand tamping, mechanical compaction, or other authorized means.

01-20-12

**Replace the 2nd paragraph of section 19-3.03F with:**

Do not backfill over or place material over slurry cement backfill until 4 hours after placement. When concrete sand is used as aggregate and the in-place material is free draining, you may start backfilling as soon as the surface water is gone.

01-20-12

**Add between the 2nd and 3rd paragraphs of section 19-3.03K:**

Before you excavate for the installation of ground anchors in a wall zone:

1. Complete stability testing
2. Obtain authorization of test data

01-20-12

**Replace the 2nd sentence of the 7th paragraph of section 19-3.03K:**

Stop construction in unstable areas until remedial measures have been taken. Remedial measures must be submitted and authorized.

01-20-12

**Add between the 8th and 9th paragraphs of section 19-3.03K:**

When your excavation and installation methods result in a discontinuous wall along any soil nail row, the ends of the structurally completed wall section must extend beyond the ends of the next lower excavation lift by a distance equal to twice the lift height. Maintain temporary slopes at the ends of each wall section to ensure slope stability.

01-20-12

**Replace the 9th paragraph of section 19-3.03K:**

Do not excavate to the next underlying excavation lift until the following conditions have been attained for the portion of the soil nail or ground anchor wall in the current excavation lift:

01-20-12

1. Soil nails or ground anchors are installed and grouted.
2. Reinforced shotcrete facing is constructed.
3. Grout and shotcrete have cured for 72 hours.
4. Specified tests are complete for that portion of wall and the results are authorized.
5. Soil nail facing anchorages are attached or ground anchors are locked off.







### HMA Mix Design Requirements

Quality characteristic	Test method	HMA type		
		A	B	RHMA-G
Air void content (%)	California Test 367	4.0	4.0	Section 39-1.03B
Voids in mineral aggregate (% min.)	California Test 367			
No. 4 grading		17.0	17.0	--
3/8" grading		15.0	15.0	--
1/2" grading		14.0	14.0	18.0–23.0 <sup>a</sup>
3/4" grading		13.0	13.0	18.0–23.0 <sup>a</sup>
Voids filled with asphalt (%)	California Test 367			Note c
No. 4 grading		65.0–75.0	65.0–75.0	
3/8" grading		65.0–75.0	65.0–75.0	
1/2" grading		65.0–75.0	65.0–75.0	
3/4" grading		65.0–75.0	65.0–75.0	
Dust proportion	California Test 367			Note c
No. 4 and 3/8" gradings		0.6–1.2	0.6–1.2	
1/2" and 3/4" gradings		0.6–1.2	0.6–1.2	
Stabilometer value (min.) <sup>b</sup>	California Test 366			
No. 4 and 3/8" gradings		30	30	--
1/2" and 3/4" gradings		37	35	23

<sup>a</sup> Voids in mineral aggregate for RHMA-G must be within this range.

<sup>b</sup> California Test 304, Part 2C.12.

<sup>c</sup> Report this value in the JMF submittal.

**Replace item 4 in the list in the 1st paragraph of section 39-1.03C with:**

01-20-12

4. JMF renewal on a *Caltrans Job Mix Formula Renewal* form, if applicable

**Replace the 2nd paragraph of section 39-1.03E with:**

04-20-12

Use the OBC specified on your *Contractor Hot Mix Asphalt Design Data* form. No adjustments to asphalt binder content are allowed. Based on your testing and production experience, you may submit an adjusted aggregate gradation TV on a *Contractor Job Mix Formula Proposal* form before verification testing. Aggregate gradation TV must be within the TV limits specified in the aggregate gradation tables.

**Add between the 3rd and 4th paragraphs of section 39-1.03E:**

04-20-12

Asphalt binder set point for HMA must be the OBC specified on your *Contractor Hot Mix Asphalt Design Data* form. When RAP is used, asphalt binder set point for HMA must be:

$$\text{Asphalt Binder Set Point} = \frac{\frac{BC_{OBC}}{\left(1 - \frac{BC_{OBC}}{100}\right)} - R_{RAP} \left[ \frac{BC_{RAP}}{\left(1 - \frac{BC_{RAP}}{100}\right)} \right]}{100 + \frac{BC_{OBC}}{\left(1 - \frac{BC_{OBC}}{100}\right)}}$$

Where:

BC<sub>OBC</sub> = optimum asphalt binder content, percent based on total weight of mix

$R_{RAP}$  = RAP ratio by weight of aggregate

$BC_{RAP}$  = asphalt binder content of RAP, percent based on total weight of RAP mix

**Replace item 4 in the list in the 8th paragraph of section 39-1.03E with:**

04-20-12

4. HMA quality specified in the table titled "HMA Mix Design Requirements" except:
  - 4.1. Air void content, design value  $\pm 2.0$  percent
  - 4.2. Voids filled with asphalt, report only
  - 4.3. Dust proportion, report only

**Replace the 12th paragraph of section 39-1.03E with:**

04-20-12

If tests on plant-produced samples do not verify the JMF, the Engineer notifies you and you must submit a new JMF or submit an adjusted JMF based on your testing. JMF adjustments may include a change in aggregate gradation TV within the TV limits specified in the aggregate gradation tables.

**Replace the 14th paragraph of section 39-1.03E with:**

01-20-12

A verified JMF is valid for 12 months.

**Replace the last sentence in the 15th paragraph of section 39-1.03E with:**

01-20-12

This deduction does not apply to verifications initiated by the Engineer or JMF renewal.

**Add between the 1st and 2nd paragraphs of section 39-1.03F:**

04-20-12

Target asphalt binder content on your Contractor *Job Mix Formula Proposal* form and the OBC specified on your *Contractor Hot Mix Asphalt Design Data* form must be the same.

01-20-12

**Delete the 4th paragraph of section 39-1.03F.**

**Replace items 3 and 5 in the list in the 6th paragraph of section 39-1.03F with:**

01-20-12

3. Engineer verifies each proposed JMF renewal within 20 days of receiving verification samples.
5. For each HMA type and aggregate gradation specified, the Engineer verifies at the Department's expense 1 proposed JMF renewal within a 12-month period.

**Add between the 6th and 7th paragraphs of section 39-1.03F:**

01-20-12

The most recent aggregate quality test results within the past 12 months may be used for verification of JMF renewal or the Engineer may perform aggregate quality tests for verification of JMF renewal.

**Replace section 39-1.03G with:**

04-20-12

**39-1.03G Job Mix Formula Modification**

For an accepted JMF, you may change asphalt binder source one time during production.

Submit your modified JMF request a minimum of 3 business days before production. Each modified JMF submittal must consist of:

1. Proposed modified JMF on *Contractor Job Mix Formula Proposal* form
2. Mix design records on *Contractor Hot Mix Asphalt Design Data* form for the accepted JMF to be modified
3. JMF verification on *Hot Mix Asphalt Verification* form for the accepted JMF to be modified
4. Quality characteristics test results for the modified JMF as specified in section 39-1.03B. Perform tests at the mix design OBC as shown on the *Contractor Asphalt Mix Design Data* form
5. If required, California Test 371 test results for the modified JMF.

With an accepted modified JMF submittal, the Engineer verifies each modified JMF within 5 business days of receiving all verification samples. If California Test 371 is required, the Engineer tests for California Test 371 within 10 days of receiving verification samples.

The Engineer verifies the modified JMF after the modified JMF HMA is placed on the project and verification samples are taken within the first 750 tons following sampling requirements in section 39-1.03E, "Job Mix Formula Verification." The Engineer tests verification samples for compliance with:

1. Stability as shown in the table titled "HMA Mix Design Requirements"
2. Air void content at design value  $\pm 2.0$  percent
3. Voids in mineral aggregate as shown in the table titled "HMA Mix Design Requirements"
4. Voids filled with asphalt, report only
5. Dust proportion, report only

If the modified JMF is verified, the Engineer revises your *Hot Mix Asphalt Verification* form to include the new asphalt binder source. Your revised form will have the same expiration date as the original form.

If a modified JMF is not verified, stop production and any HMA placed using the modified JMF is rejected.

The Engineer deducts \$2,000 from payments for each modified JMF verification. The Engineer deducts an additional \$2,000 for each modified JMF verification that requires California Test 371.

**Add to section 39-1.03:**

01-20-12

**39-1.03H Job Mix Formula Acceptance**

You may start HMA production if:

1. The Engineer's review of the JMF shows compliance with the specifications.
2. The Department has verified the JMF within 12 months before HMA production.
3. The Engineer accepts the verified JMF.

**Replace "3 days" in the 1st paragraph of section 39-1.04A with:**

01-20-12

3 business days

**Replace the 2nd sentence in the 2nd paragraph of section 39-1.04A with:**

01-20-12

During production, take samples under California Test 125. You may sample HMA from:

**Replace "5 days" in the 1st paragraph of section 39-1.06 with:**

01-20-12

5 business days

**Replace the 3rd paragraph of section 39-1.08A with:**

04-20-12

During production, you may adjust hot or cold feed proportion controls for virgin aggregate and RAP.

**Add to section 39-1.08A:**

04-20-12

During production, asphalt binder set point for HMA Type A, HMA Type B, HMA Type C, and RHMA-G must be the OBC shown in *Contractor Hot Mix Asphalt Design Data* form. For OGFC, asphalt binder set point must be the OBC shown on *Caltrans Hot Mix Asphalt Verification* form. If RAP is used, asphalt binder set point for HMA must be calculated as specified in section 39-1.03E.

You must request adjustments to the plant asphalt binder set point based on new RAP stockpiles average asphalt binder content. Do not adjust the HMA plant asphalt binder set point until authorized.

**Replace the 3rd paragraph of section 39-1.08B with:**

09-16-11

Asphalt rubber binder must be from 375 to 425 degrees F when mixed with aggregate.

**Replace the 15th paragraph of section 39-1.11 with:**

01-20-12

For Standard and QC/QA construction processes, if 3/4-inch aggregate grading is specified, you may use a 1/2-inch aggregate grading if the specified total paved thickness is at least 0.15 foot and less than 0.20 foot thick.

**Replace the 17th paragraph of section 39-1.11 with:**

01-20-12

Do not open new HMA pavement to public traffic until its mid-depth temperature is below 160 degrees F.

**Replace the 5th and 6th paragraphs of section 39-1.12C with:**

07-20-12

On tangents and horizontal curves with a centerline radius of curvature 2,000 feet or more, the  $PI_0$  must be at most 2.5 inches per 0.1-mile section.

On horizontal curves with a centerline radius of curvature between 1,000 feet and 2,000 feet including pavement within the superelevation transitions, the  $PI_0$  must be at most 5 inches per 0.1-mile section.

**Add to section 39-1.12:**

01-20-12

**39-1.12E Reserved**

**Add to section 39-1.14:**

01-20-12

Prepare the area to receive HMA for miscellaneous areas and dikes, including any excavation and backfill as needed.

**Replace "6.8" in item 3 in the list in the 4th paragraph of section 39-1.14 with:**

04-20-12

6.4

**Replace "6.0" in item 3 in the list in the 4th paragraph of section 39-1.14 with:**

04-20-12

5.7

**Replace "6.8" in the 1st paragraph of section 39-1.15B with:**

04-20-12

6.4

**Replace "6.0" in the 1st paragraph of section 39-1.15B with:**

04-20-12

5.7

**Replace the 1st paragraph of section 39-2.02B with:**

04-20-12

Perform sampling and testing at the specified frequency for the quality characteristics shown in the following table:

**Minimum Quality Control—Standard Construction Process**

Quality characteristic	Test method	Minimum sampling and testing frequency	HMA type			
			A	B	RHMA-G	OGFC
Aggregate gradation <sup>a</sup>	California Test 202	1 per 750 tons and any remaining part at the end of the project	JMF ± Tolerance <sup>b</sup>			
Sand equivalent (min) <sup>c</sup>	California Test 217		47	42	47	--
Asphalt binder content (%)	California Test 379 or 382		JMF±0.40	JMF±0.40	JMF ± 0.40	JMF ± 0.40
HMA moisture content (% , max)	California Test 226 or 370	1 per 2,500 tons but not less than 1 per paving day	1.0	1.0	1.0	1.0
Field compaction (% max. theoretical density) <sup>d,e</sup>	QC plan	2 per business day (min.)	91–97	91–97	91–97	--
Stabilometer value (min) <sup>c, f</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	California Test 366	One per 4,000 tons or 2 per 5 business days, whichever is greater	30	30	--	--
			37	35	23	--
Air void content (%) <sup>c, g</sup>	California Test 367		4 ± 2	4 ± 2	TV ± 2	--
Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants <sup>h</sup>	California Test 226 or 370	2 per day during production	--	--	--	--
Percent of crushed particles coarse aggregate (% , min) One fractured face Two fractured faces Fine aggregate (% , min) (Passing no. 4 sieve and retained on no. 8 sieve.) One fractured face	California Test 205	As designated in the QC plan. At least once per project	90	25	--	90
			75	--	90	75
Los Angeles Rattler (% , max) Loss at 100 rev.	California Test 211		70	20	70	90
			12	--	12	12

Loss at 500 rev.			45	50	40	40
Flat and elongated particles (% max by weight @ 5:1)	California Test 235		Report only	Report only	Report only	Report only
Fine aggregate angularity (% min) <sup>i</sup>	California Test 234		45	45	45	--
Voids filled with asphalt (%) <sup>j</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	California Test 367		65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	Report only	--
Voids in mineral aggregate (% min) <sup>j</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	California Test 367		17.0 15.0 14.0 13.0	17.0 15.0 14.0 13.0	-- -- 18.0–23.0 <sup>k</sup> 18.0–23.0 <sup>k</sup>	--
Dust proportion <sup>j</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	California Test 367		0.6-1.2 0.6–1.2	0.6-1.2 0.6–1.2	Report only	--
Smoothness	Section 39-1.12	--	12-foot straight-edge, must grind, and PI <sub>0</sub>	12-foot straight-edge, must grind, and PI <sub>0</sub>	12-foot straight-edge, must grind, and PI <sub>0</sub>	12-foot straight-edge, must grind, and PI <sub>0</sub>
Asphalt rubber binder viscosity @ 375 °F, centipoises	Section 39-1.02D	Section 39-1.04C	--	--	1,500–4,000	1,500–4,000
Asphalt modifier	Section 39-1.02D	Section 39-1.04C	--	--	Section 39-1.02D	Section 39-1.02D
CRM	Section 39-1.02D	Section 39-1.04C	--	--	Section 39-1.02D	Section 39-1.02D

<sup>a</sup> Determine combined aggregate gradation containing RAP under California Test 367.

<sup>b</sup> The tolerances must comply with the allowable tolerances in section 39-1.02E.

<sup>c</sup> Report the average of 3 tests from a single split sample.

<sup>d</sup> Determine field compaction for any of the following conditions:

1. 1/2-inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>e</sup> To determine field compaction use:

1. In-place density measurements using the method specified in your QC plan.
2. California Test 309 to determine the maximum theoretical density at the frequency specified in California Test 375, Part 5C.

<sup>f</sup> California Test 304, Part 2C.12.

<sup>g</sup> Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>h</sup> For adjusting the plant controller at the HMA plant.

<sup>i</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>j</sup> Report only.

<sup>k</sup> Voids in mineral aggregate for RHMA-G must be within this range.

**Replace the 1st paragraph of section 39-2.03A with:**

04-20-12

The Department samples for acceptance testing and tests for the quality characteristics shown in the following table:

**HMA Acceptance—Standard Construction Process**

Quality characteristic	Test method	HMA type						
		A	B	RHMA-G	OGFC			
<b>Aggregate gradation<sup>a</sup></b>	California Test 202	JMF ± tolerance <sup>c</sup>						
Sieve						3/4"	1/2"	3/8"
1/2"						X <sup>b</sup>		
3/8"							X	
No. 4								X
No. 8						X	X	X
No. 200	X	X	X					
Sand equivalent (min) <sup>d</sup>	California Test 217	47	42	47	--			
Asphalt binder content (%)	California Test 379 or 382	JMF±0.40	JMF±0.40	JMF ± 0.40	JMF ± 0.40			
HMA moisture content (% , max)	California Test 226 or 370	1.0	1.0	1.0	1.0			
Field compaction (% max. theoretical density) <sup>e, f</sup>	California Test 375	91–97	91–97	91–97	--			
Stabilometer value (min) <sup>d, g</sup>	California Test 366	30 37	30 35	-- 23	-- --			
No. 4 and 3/8" gradings								
1/2" and 3/4" gradings								
Air void content (%) <sup>d, h</sup>	California Test 367	4 ± 2	4 ± 2	TV ± 2	--			
Percent of crushed particles Coarse aggregate (% , min) One fractured face Two fractured faces Fine aggregate (% , min) (Passing no. 4 sieve and retained on no. 8 sieve.) One fractured face	California Test 205	90	25	--	90			
		75	--	90	75			
		70	20	70	90			
Los Angeles Rattler (% , max) Loss at 100 rev. Loss at 500 rev.	California Test 211	12	--	12	12			
		45	50	40	40			
Fine aggregate angularity (% , min) <sup>i</sup>	California Test 234	45	45	45	--			
Flat and elongated particles (% , max by weight @ 5:1)	California Test 235	Report only	Report only	Report only	Report only			
Voids filled with asphalt (%) <sup>j</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	California Test 367	65.0–75.0	65.0–75.0	Report only	--			
		65.0–75.0	65.0–75.0					
		65.0–75.0	65.0–75.0					
		65.0–75.0	65.0–75.0					
Voids in mineral aggregate (% min) <sup>j</sup> No. 4 grading 3/8" grading	California Test 367	17.0	17.0	--	--			
		15.0	15.0	--	--			

1/2" grading 3/4" grading		14.0 13.0	14.0 13.0	18.0–23.0 <sup>k</sup> 18.0–23.0 <sup>k</sup>	
Dust proportion <sup>j</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	California Test 367	0.6-1.2 0.6–1.2	0.6-1.2 0.6–1.2	Report only	--
Smoothness	Section 39-1.12	12-foot straight- edge, must grind, and PI <sub>0</sub>	12-foot straight- edge, must grind, and PI <sub>0</sub>	12-foot straight- edge, must grind, and PI <sub>0</sub>	12-foot straight- edge and must grind
Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92
Asphalt rubber binder	Various	--	--	Section 92- 1.01D(2) and section 39-1.02D	Section 92-1.01D(2) and section 39-1.02D
Asphalt modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D
CRM	Various	--	--	Section 39-1.02D	Section 39-1.02D

<sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under California Test 367.

<sup>b</sup> "X" denotes the sieves the Engineer tests for the specified aggregate gradation.

<sup>c</sup> The tolerances must comply with the allowable tolerances in section 39-1.02E.

<sup>d</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>e</sup> The Engineer determines field compaction for any of the following conditions:

1. 1/2-inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>f</sup> To determine field compaction, the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core.
2. California Test 309 to determine the maximum theoretical density at the frequency specified in California Test 375, Part 5C.

<sup>g</sup> California Test 304, Part 2C.12.

<sup>h</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>i</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>j</sup> Report only.

<sup>k</sup> Voids in mineral aggregate for RHMA-G must be within this range.

**Replace the 5th paragraph of section 39-2.03A with:**

01-20-12

The Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness if any of the following applies:

1. 1/2-inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot and any layer is less than 0.15 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.2 foot and any layer is less than 0.20 foot.

**Replace the 1st paragraph of section 39-3.02A with:**

04-20-12

The Department samples for acceptance testing and tests for the quality characteristics shown in the following table:

**HMA Acceptance—Method Construction Process**

Quality characteristic	Test method	HMA type			
		A	B	RHMA-G	OGFC
Aggregate gradation <sup>a</sup>	California Test 202	JMF ± tolerance <sup>b</sup>	JMF ± tolerance <sup>b</sup>	JMF ± tolerance <sup>b</sup>	JMF ± tolerance <sup>b</sup>
Sand equivalent (min) <sup>c</sup>	California Test 217	47	42	47	--
Asphalt binder content (%)	California Test 379 or 382	JMF±0.40	JMF±0.40	JMF ± 0.40	JMF ± 0.40
HMA moisture content (% max)	California Test 226 or 370	1.0	1.0	1.0	1.0
Stabilometer value (min) <sup>c, d</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	California Test 366	30 37	30 35	-- 23	-- --
Percent of crushed particles Coarse aggregate (% min) One fractured face Two fractured faces Fine aggregate (% min) (Passing no. 4 sieve and retained on no. 8 sieve.) One fractured face	California Test 205	90 75 70	25 -- 20	-- 90 70	90 75 90
Los Angeles Rattler (% max) Loss at 100 rev. Loss at 500 rev.	California Test 211	12 45	-- 50	12 40	12 40
Air void content (%) <sup>c, e</sup>	California Test 367	4 ± 2	4 ± 2	TV ± 2	--
Fine aggregate angularity (% min)	California Test 234	45	45	45	--
Flat and elongated particles (% max by weight @ 5:1)	California Test 235	Report only	Report only	Report only	Report only
Voids filled with asphalt (%) <sup>g</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	California Test 367	65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	Report only	--
Voids in mineral aggregate (% min) <sup>g</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	California Test 367	17.0 15.0 14.0 13.0	17.0 15.0 14.0 13.0	-- -- 18.0–23.0 <sup>h</sup> 18.0–23.0 <sup>h</sup>	--
Dust proportion <sup>g</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings	California Test 367	0.6-1.2 0.6–1.2	0.6-1.2 0.6–1.2	Report only	--
Smoothness	Section 39-1.12	12-foot straight-edge and	12-foot straight-edge and	12-foot straight-edge and	12-foot straight-edge and

		must-grind	must-grind	must-grind	must-grind
Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92
Asphalt rubber binder	Various	--	--	Section 92-1.01D(2) and section 39-1.02D	Section 92-1.01D(2) and section 39-1.02D
Asphalt modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D
CRM	Various	--	--	Section 39-1.02D	Section 39-1.02D

<sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under California Test 367.

<sup>b</sup> The tolerances must comply with the allowable tolerances in section 39-1.02E.

<sup>c</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>d</sup> California Test 304, Part 2C.12.

<sup>e</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>f</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>g</sup> Report only.

<sup>h</sup> Voids in mineral aggregate for RHMA-G must be within this range.

**Replace "280 degrees F" in item 2 in the list in the 6th paragraph of section 39-3.04 with:**

01-20-12

285 degrees F

**Replace the 8th paragraph of section 39-4.02C with:**

04-20-12

Comply with the values for the HMA quality characteristics and minimum random sampling and testing for quality control shown in the following table:

**Minimum Quality Control—QC/QA Construction Process**

Quality characteristic	Test method	Minimum sampling and testing frequency	HMA Type			Location of sampling	Maximum report-ing time allow-ance
			A	B	RHMA-G		
Aggregate gradation <sup>a</sup>	California Test 202	1 per 750 tons	JMF ± tolerance <sup>b</sup>	JMF ± tolerance <sup>b</sup>	JMF ± tolerance <sup>b</sup>	California Test 125	24 hours
Asphalt binder content (%)	California Test 379 or 382		JMF±0.40	JMF±0.40	JMF ±0.40	Loose mix behind paver See California Test 125	
Field compaction (% max. theoretical density) <sup>c,d</sup>	QC plan		92–96	92–96	91–96	QC plan	
Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants <sup>e</sup>	California Test 226 or 370	2 per day during production	--	--	--	Stock-piles or cold feed belts	--
Sand equivalent (min) <sup>f</sup>	California Test 217	1 per 750 tons	47	42	47	California Test 125	24 hours
HMA moisture content (% ,max)	California Test 226 or 370	1 per 2,500 tons but not less than 1 per paving day	1.0	1.0	1.0	Loose Mix Behind Paver See California Test 125	24 hours
Stabilometer value (min) <sup>f,g</sup>	California Test 366	1 per 4,000 tons or 2 per 5 business days, whichever is greater	30	30	--		48 hours
No. 4 and 3/8" gradings 1/2" and 3/4" gradings			37	35	23		
Air void content (%) <sup>f,h</sup>	California Test 367		4 ± 2	4 ± 2	TV ± 2		

Percent of crushed particles coarse aggregate (% min.): One fractured face Two fractured faces	California Test 205		90	25	--	California Test 125	48 hours
Fine aggregate (% min) (Passing no. 4 sieve and retained on no. 8 sieve.): One fractured face			75	--	90		
Los Angeles Rattler (% max): Loss at 100 rev. Loss at 500 rev.	California Test 211	As designated in QC plan.	12	--	12	California Test 125	
Fine aggregate angularity (% min) <sup>i</sup>	California Test 234		45	50	40		
Flat and elongated particle (% max by weight @ 5:1)	California Test 235	At least once per project.	45	45	45	California Test 125	
Voids filled with asphalt (%) <sup>i</sup> : No. 4 grading 3/8" grading 1/2" grading 3/4" grading	California Test 367		Report only	Report only	Report only	California Test 125	
Voids in mineral aggregate (% min.) <sup>j</sup> : No. 4 grading 3/8" grading 1/2" grading 3/4" grading	California Test 367		65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	Report only		
			17.0 15.0 14.0 13.0	17.0 15.0 14.0 13.0	-- -- 18.0– 23.0 <sup>k</sup> 18.0– 23.0 <sup>k</sup>		

Dust proportion <sup>j</sup> :	California Test 367						
No. 4 and 3/8" gradings			0.6-1.2	0.6-1.2	Report only		
1/2" and 3/4" gradings			0.6-1.2	0.6-1.2			
Smoothness	Section 39-1.12	--	12-foot straight-edge, must-grind, and PI <sub>0</sub>	12-foot straight-edge, must-grind, and PI <sub>0</sub>	12-foot straight-edge, must-grind, and PI <sub>0</sub>	--	
Asphalt rubber binder viscosity @ 375 °F, centipoises	Section 39-1.02D	--	--	--	1,500-4,000	Section 39-1.02D	24 hours
CRM	Section 39-1.02D	--	--	--	Section 39-1.02D	Section 39-1.02D	48 hours

<sup>a</sup> Determine combined aggregate gradation containing RAP under California Test 367.

<sup>b</sup> The tolerances must comply with the allowable tolerances in section 39-1.02E.

<sup>c</sup> Determines field compaction for any of the following conditions:

1. 1/2-inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>d</sup> To determine field compaction use:

1. In-place density measurements using the method specified in your QC plan.
2. California Test 309 to determine the maximum theoretical density at the frequency specified in California Test 375, Part 5C.

<sup>e</sup> For adjusting the plant controller at the HMA plant.

<sup>f</sup> Report the average of 3 tests from a single split sample.

<sup>g</sup> California Test 304, Part 2C, 12.

<sup>h</sup> Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>i</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>j</sup> Report only.

<sup>k</sup> Voids in mineral aggregate for RHMA-G must be within this range.

**Replace the 1st sentence in the 1st paragraph of section 39-4.03B(2) with:**

01-20-12

For aggregate gradation and asphalt binder content, the minimum ratio of verification testing frequency to quality control testing frequency is 1:5.

**Replace the 2nd "and" in the 7th paragraph of section 39-4.03B(2) with:**

or

01-20-12

**Replace the 1st paragraph of section 39-4.04A with:**

04-20-12

The Engineer samples for acceptance testing and tests for the following quality characteristics:

**HMA Acceptance—QC/QA Construction Process**

Index (i)	Quality characteristic				Weighting factor (w)	Test method	HMA type		
							A	B	RHMA-G
		Aggregate gradation <sup>a</sup>				California Test 202	JMF ± Tolerance <sup>c</sup>		
	Sieve	3/4"	1/2"	3/8"					
1	1/2"	X <sup>b</sup>	--	--	0.05				
1	3/8"	--	X	--	0.05				
1	No. 4	--	--	X	0.05				
2	No. 8	X	X	X	0.10				
3	No. 200	X	X	X	0.15				
4	Asphalt binder content (%)				0.30	California Test 379 or 382	JMF±0.40	JMF±0.40	JMF ± 0.40
5	Field compaction (% max. theoretical density) <sup>d, e</sup>				0.40	California Test 375	92–96	92–96	91–96
	Sand equivalent (min) <sup>f</sup>					California Test 217	47	42	47
	Stabilometer value (min) <sup>f, g</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings					California Test 366	30 37	30 35	-- 23
	Air void content (%) <sup>f, h</sup>					California Test 367	4 ± 2	4 ± 2	TV ± 2
	Percent of crushed particles coarse aggregate (% min) One fractured face Two fractured faces Fine aggregate (% min) (Passing no. 4 sieve and retained on No. 8 sieve.) One fractured face					California Test 205	90 75 70	25 -- 20	-- 90 70
	HMA moisture content (% max)					California Test 226 or 370	1.0	1.0	1.0
	Los Angeles Rattler (% max) Loss at 100 rev. Loss at 500 rev.					California Test 211	12 45	-- 50	12 40
	Fine aggregate angularity (% min) <sup>i</sup>					California Test 234	45	45	45
	Flat and elongated particle (% max by weight @ 5:1)					California Test 235	Report only	Report only	Report only
	Voids in mineral aggregate (% min) <sup>j</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading					California Test 367	17.0 15.0 14.0 13.0	17.0 15.0 14.0 13.0	-- -- 18.0–23.0 18.0–23.0

	Voids filled with asphalt (%) <sup>j</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading		California Test 367	65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	Report only
	Dust proportion <sup>l</sup> No. 4 and 3/8" gradings 1/2" and 3/4" gradings		California Test 367	0.6–1.2 0.6–1.2	0.6–1.2 0.6–1.2	Report only
	Smoothness		Section 39-1.12	12-foot straight- edge, must grind, and PI <sub>0</sub>	12-foot straight- edge, must grind, and PI <sub>0</sub>	12-foot straight- edge, must grind, and PI <sub>0</sub>
	Asphalt binder		Various	Section 92	Section 92	Section 92
	Asphalt rubber binder		Various	--	--	Section 92-1.01D(2) and section 39-1.02D
	Asphalt modifier		Various	--	--	Section 39-1.02D
	CRM		Various	--	--	Section 39-1.02D

<sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under California Test 367.

<sup>b</sup> "X" denotes the sieves the Engineer tests for the specified aggregate gradation.

<sup>c</sup> The tolerances must comply with the allowable tolerances in section 39-1.02E.

<sup>d</sup> The Engineer determines field compaction for any of the following conditions:

1. 1/2-inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot and less than 0.20 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>e</sup> To determine field compaction, the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each density core.
2. California Test 309 to determine the maximum theoretical density at the frequency specified in California Test 375, Part 5C.

<sup>f</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>g</sup> California Test 304, Part 2C.12.

<sup>h</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>i</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

<sup>j</sup> Report only.

<sup>k</sup> Voids in mineral aggregate for RHMA-G must be within this range.

**Replace the 3rd paragraph of section 39-4.04A with:**

01-20-12

The Department determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness if any of the following applies:

1. 1/2-inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot and any layer is less than 0.15 foot.
2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 and any layer is less than 0.20 foot.



**Replace the 2nd and 3rd paragraphs in section 40-1.01D(13)(a) with:**

01-20-12

Pavement smoothness may be accepted based on the Department's testing. A single test represents no more than 0.1 mile.

Acceptance of modulus of rupture, thickness, dowel bar and tie bar placement, coefficient of friction, smoothness, and air content, does not constitute final concrete pavement acceptance.

**Delete item 4 in the list in the 2nd paragraph in section 40-1.01D(13)(c)(2).**

01-20-12

**Replace items 1 and 2 in the list in the 2nd paragraph in 40-1.01D(13)(d) with:**

01-20-12

1. For tangents and horizontal curves having a centerline radius of curvature 2,000 feet or more, the  $PI_0$  must be at most 2-1/2 inches per 0.1-mile section.
2. For horizontal curves having a centerline radius of curvature from 1,000 to 2,000 feet including concrete pavement within the superelevation transitions of those curves, the  $PI_0$  must be at most 5 inches per 0.1-mile section.

**Replace the 1st and 2nd variables in the equation in section 40-1.01D(13)(f) with:**

01-20-12

$n_c$  = Number of your quality control tests (minimum of 6 required)  
 $n_v$  = Number of verification tests (minimum of 2 required)

**Replace "Your approved third party independent testing laboratory" in the 4th paragraph of section 40-1.01D(13)(f) with:**

01-20-12

The authorized laboratory

**Replace item 2 in the list in the 2nd paragraph of section 40-1.01D(13)(g):**

01-20-12

2. One test for every 4,000 square yards of concrete pavement with tie bars or remaining fraction of that area. Each tie bar test consists of 2 cores with 1 on each tie-bar-end to expose both ends and allow measurement.

**Replace section 40-1.01D(13)(h) with:**

01-20-12

**40-1.01D(13)(h) Bar Reinforcement**

Bar reinforcement is accepted based on inspection before concrete placement.

**Replace the paragraph in section 40-1.02B(2) with:**

01-20-12

PCC for concrete pavement must comply with section 90-1 except as otherwise specified.

**Replace the paragraphs in section 40-1.02D with:**

01-20-12

Bar reinforcement must be deformed bars.

If the project is not shown to be in high desert or any mountain climate region, bar reinforcement must comply with section 52.

If the project is shown to be in high desert or any mountain climate regions, bar reinforcement must be one of the following:

1. Epoxy-coated bar reinforcement under section 52-2.03B except bars must comply with either ASTM A 706/A 706M; ASTM A 996/A 996M; or ASTM A 615/A 615M, Grade 40 or 60. Bars must be handled under ASTM D 3963/D 3963M and section 52-2.02C.
2. Low carbon, chromium steel bar complying with ASTM A 1035/A 1035M

**Replace the paragraphs in section 40-1.02E with:**

01-20-12

Tie bars must be deformed bars.

If the project is not shown to be in high desert or any mountain climate region, tie bars must be one of the following:

1. Epoxy-coated bar reinforcement. Bars must comply with either section 52-2.02B or 52-2.03B except bars must comply with either ASTM A 706/A 706M; ASTM A 996/A 996M; or ASTM A 615/A 615M, Grade 40 or 60.
2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.
3. Low carbon, chromium-steel bars under ASTM A 1035/A 1035M.

If the project is shown to be in high desert or any mountain climate region, tie bars must be one of the following:

1. Epoxy-coated bar reinforcement. Bars must comply with section 52-2.03B except bars must comply with either ASTM A 706/A 706M; ASTM A 996/A 996M; or ASTM A 615/A 615M, Grade 40 or 60.
2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.

Fabricate, sample, and handle epoxy-coated tie bars under ASTM D 3963/D 3963M, section 52-2.02C, or section 52-2.03C.

Do not bend tie bars.

**Replace the 1st, 2nd, and 3rd paragraphs in section 40-1.02F with:**

01-20-12

Dowel bars must be plain bars. Fabricate, sample, and handle epoxy-coated dowel bars under ASTM D 3963/D 3963M and section 52-2.03C except each sample must be 18 inches long.

If the project is not shown to be in high desert or any mountain climate region, dowel bars must be one of the following:

1. Epoxy-coated bars. Bars must comply with ASTM A 615/A 615M, Grade 40 or 60. Epoxy coating must comply with either section 52-2.02B or 52-2.03B.
2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.
3. Low carbon, chromium-steel bars under ASTM A 1035/A 1035M.

If the project is shown to be in high desert or any mountain climate region, dowel bars must be one of the following:

1. Epoxy-coated bars. Bars must comply with ASTM A 615/A 615M, Grade 40 or 60. Epoxy coating must comply with section 52-2.03B.
2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.

**Replace the paragraphs in section 40-1.02G with:**

01-20-12

For dowel and tie bar baskets, wire must comply with ASTM A 82/A 82M and be welded under ASTM A 185/A 185M, Section 7.4. The minimum wire-size no. is W10. Use either U-frame or A-frame shaped assemblies.

If the project is not shown to be in high desert or any mountain climate region. Baskets may be epoxy-coated, and the epoxy coating must comply with either section 52-2.02B or 52-2.03B.

If the project is shown to be in high desert or any mountain climate region, wire for dowel bar and tie bar baskets must be one of the following:

1. Epoxy-coated wire complying with section 52-2.03B
2. Stainless-steel wire. Wire must be descaled, pickled, and polished solid stainless-steel. Wire must comply with (1) the chemical requirements in ASTM A 276/A 276M, UNS Designation S31603 or S31803 and (2) the tension requirements in ASTM A 1022/ A 1022M.

Handle epoxy-coated tie bar and dowel bar baskets under ASTM D 3963/D 3963M and either section 52-2.02B or 52-2.03B.

Fasteners must be driven fasteners under ASTM F 1667. Fasteners on lean concrete base or HMA must have a minimum shank diameter of 3/16 inch and a minimum shank length of 2-1/2 inches. For asphalt treated permeable base or cement treated permeable base, the shank diameter must be at least 3/16 inch and the shank length must be at least 5 inches.

Fasteners, clips, and washers must have a minimum 0.2-mil thick zinc coating applied by either electroplating or galvanizing.

**Replace the 1st paragraph in section 40-1.02H with:**

01-20-12

Chemical adhesive for drilling and bonding dowels and tie bars must be on the Authorized Material List. The Authorized Material List indicates the appropriate chemical adhesive system for the concrete temperature and installation conditions.

**Replace section 40-1.02I(2) with:**

01-20-12

**40-1.02I(2) Silicone Joint Sealant**

Silicone joint sealant must be on the Authorized Material List.

**Replace the last sentence in section 40-1.02I(4) with:**

01-20-12

Show evidence that the seals are compressed from 30 to 50 percent for the joint width at time of installation.

**Replace the paragraph in section 40-1.02L with:**

01-20-12

Water for core drilling may be obtained from a potable water source, or submit proof that it does not contain:

1. More than 1,000 parts per million of chlorides as Cl
2. More than 1,300 parts per million of sulfates as SO<sub>4</sub>
3. Impurities that cause pavement discoloration or surface etching

**Replace the paragraph in section 40-1.03B with:**

01-20-12

Before placing concrete pavement, develop enough water supply for the work under section 17.

**Replace the last paragraph in section 40-1.03D(1) with:**

01-20-12

Removal of grinding residue must comply with section 42-1.03B.

**Replace the 1st and 2nd paragraphs in section 40-1.03E(6)(c) with:**

01-20-12

Install preformed compressions seals in isolation joints if specified in the special provisions.

Install longitudinal seals before transverse seals. Longitudinal seals must be continuous except splicing is allowed at intersections with transverse seals. Transverse seals must be continuous for the entire transverse length of concrete pavement except splices are allowed for widenings and staged construction. With a sharp instrument, cut across the longitudinal seal at the intersection with transverse construction joints. If the longitudinal seal does not relax enough to properly install the transverse seal, trim the longitudinal seal to form a tight seal between the 2 joints.

If splicing is authorized, splicing must comply with the manufacturer's written instructions.

**Replace the last 2 paragraphs in section 40-1.03G with:**

01-20-12

Construct additional test strips if you:

1. Propose different paving equipment including:
  - 1.1. Paver
  - 1.2. Dowel bar inserter
  - 1.3. Tie bar inserter
  - 1.4. Tining
  - 1.5. Curing equipment
2. Change concrete mix proportions

You may request authorization to eliminate the test strip if you use paving equipment and personnel from a Department project (1) for the same type of pavement and (2) completed within the past 12 months. Submit supporting documents and previous project information with your request.

**Replace the 1st paragraph in section 40-1.03I with:**

01-20-12

Place tie bars in compliance with the tolerances shown in the following table:

**Tie Bar Tolerance**

Dimension	Tolerance
Horizontal and vertical skew	10 degrees maximum
Longitudinal translation	± 2 inch maximum
Horizontal offset (embedment)	± 2 inch maximum
Vertical depth	1. Not less than 1/2 inch below the saw cut depth of joints 2. When measured at any point along the bar, not less than 2 inches clear of the pavement's surface and bottom

**Replace item 4 in the list in the 2nd paragraph in section 40-1.03I with:**

- 01-20-12
- Use tie bar baskets. Anchor baskets at least 200 feet in advance of pavement placement activity. If you request a waiver, describe the construction limitations or restricted access preventing the advanced anchoring. After the baskets are anchored and before paving, demonstrate the tie bars do not move from their specified depth and alignment during paving. Use fasteners to anchor tie bar baskets.

**Replace "The maximum distance below the depth shown must be 0.05 foot." in the table in section 40-1.03J with:**

The maximum distance below the depth shown must be 5/8 inch.

01-20-12

**Replace sections 40-1.03L and 40-1.03M with:**

**40-1.03L Finishing**

**40-1.03L(1) General**

Reserved

**40-1.03L(2) Preliminary Finishing**

**40-1.03L(2)(a) General**

Preliminary finishing must produce a smooth and true-to-grade finish. After preliminary finishing, mark each day's paving with a stamp. The stamp must be authorized before paving starts. The stamp must be approximately 1 by 2 feet in size. The stamp must form a uniform mark from 1/8 to 1/4 inch deep. Locate the mark 20 ± 5 feet from the transverse construction joint formed at each day's start of paving and 1 ± 0.25 foot from the pavement's outside edge. The stamp mark must show the month, day, and year of placement and the station of the transverse construction joint. Orient the stamp mark so it can be read from the pavement's outside edge.

Do not apply more water to the pavement surface than can evaporate before float finishing and texturing are completed.

**40-1.03L(2)(b) Stationary Side Form Finishing**

If stationary side form construction is used, give the pavement a preliminary finish by the machine float method or the hand method.

If using the machine float method:

- Use self-propelled machine floats.

01-20-12

2. Determine the number of machine floats required to perform the work at a rate equal to the pavement delivery rate. If the time from paving to machine float finishing exceeds 30 minutes, stop pavement delivery. When machine floats are in proper position, you may resume pavement delivery and paving.
3. Run machine floats on side forms or adjacent pavement lanes. If running on adjacent pavement, protect the adjacent pavement surface under section 40-1.03P. Floats must be hardwood, steel, or steel-shod wood. Floats must be equipped with devices that adjust the underside to a true flat surface.

If using the hand method, finish pavement smooth and true to grade with manually operated floats or powered finishing machines.

#### **40-1.03L(2)(c) Slip-Form Finishing**

If slip-form construction is used, the slip-form paver must give the pavement a preliminary finish. You may supplement the slip-form paver with machine floats.

Before the pavement hardens, correct pavement edge slump in excess of 0.02 foot exclusive of edge rounding.

#### **40-1.03L(3) Final Finishing**

After completing preliminary finishing, round the edges of the initial paving widths to a 0.04-foot radius. Round transverse and longitudinal construction joints to a 0.02-foot radius.

Before curing, texture the pavement. Perform initial texturing with a burlap drag or broom device that produces striations parallel to the centerline. Perform final texturing with a steel-tined device that produces grooves parallel with the centerline.

Construct longitudinal grooves with a self-propelled machine designed specifically for grooving and texturing pavement. The machine must have tracks to maintain constant speed, provide traction, and maintain accurate tracking along the pavement surface. The machine must have a single row of rectangular spring steel tines. The tines must be from 3/32 to 1/8 inch wide, on 3/4-inch centers, and must have enough length, thickness, and resilience to form grooves approximately 3/16 inch deep. The machine must have horizontal and vertical controls. The machine must apply constant down pressure on the pavement surface during texturing. The machines must not cause ravels.

Construct grooves over the entire pavement width in a single pass except do not construct grooves 3 inches from the pavement edges and longitudinal joints. Final texture must be uniform and smooth. Use a guide to properly align the grooves. Grooves must be parallel and aligned to the pavement edge across the pavement width. Grooves must be from 1/8 to 3/16 inch deep after the pavement has hardened.

For irregular areas and areas inaccessible to the grooving machine, you may hand-construct grooves under section 40-1.03L(2) using the hand method. Hand-constructed grooves must comply with the specifications for machine-constructed grooves.

Initial and final texturing must produce a coefficient of friction of at least 0.30 when tested under California Test 342. Notify the Engineer when the pavement is scheduled to be opened to traffic to allow at least 25 days for the Department to schedule testing for coefficient of friction. Notify the Engineer when the pavement is ready for testing which is the latter of:

1. Seven days after paving
2. When the pavement has attained a modulus of rupture of 550 psi

The Department tests for coefficient of friction within 7 days of receiving notification that the pavement is ready for testing.

Do not open the pavement to traffic unless the coefficient of friction is at least 0.30.

#### **40-1.03M Reserved**







## 49 PILING

10-19-12

**Replace "Load Applied to Pile by Hydraulic Jack(s) Acting at One End of Test Beam(s) Anchored to the Pile" in the 5th paragraph of section 49-1.01D(2) with:**

07-20-12

"Tensile Load Applied by Hydraulic Jack(s) Acting Upward at One End of Test Beam(s)"

**Add to section 49-1.03:**

04-20-12

Dispose of drill cuttings under section 19-2.03B.

**Replace the 2nd paragraph of section 49-2.01D with:**

01-20-12

Furnish piling is measured along the longest side of the pile from the specified tip elevation shown to the plane of pile cutoff.

**Replace the 3rd and 4th paragraphs of section 49-2.04B(2) with:**

10-19-12

Piles in a corrosive environment must be steam or water cured under section 90-4.03.

If piles in a corrosive environment are steam cured, either:

1. Keep the piles continuously wet for at least 3 days. The 3 days includes the holding and steam curing periods.
2. Apply curing compound under section 90-1.03B(3) after steam curing.

**Add to section 49-3.01A:**

01-20-12

Concrete must comply with section 51.

**Replace the 1st paragraph of section 49-3.01C with:**

01-20-12

Except for CIDH concrete piles constructed under slurry, construct CIP concrete piles such that the excavation methods and the concrete placement procedures provide for placing the concrete against undisturbed material in a dry or dewatered hole.

**Replace "Reserved" in section 49-3.02A(2) with:**

01-20-12

**dry hole:**

1. Except for CIDH concrete piles specified as end bearing, a drilled hole that:
  - 1.1. Accumulates no more than 12 inches of water in the bottom of the drilled hole during a period of 1 hour without any pumping from the hole during the hour.
  - 1.2. Has no more than 3 inches of water in the bottom of the drilled hole immediately before placing concrete.
2. For CIDH concrete piles specified as end bearing, a drilled hole free of water without the use of pumps.

**Replace "Reserved" in section 49-3.02A(3)(a) with:**

01-20-12

If plastic spacers are proposed for use, submit the manufacturer's data and a sample of the plastic spacer. Allow 10 days for review.

**Replace item 5 in the list in the 1st paragraph of section 49-3.02A(3)(b) with:**

10-19-12

5. Methods and equipment for determining:
  - 5.1. Depth of concrete
  - 5.2. Theoretical volume of concrete to be placed, including the effects on volume if casings are withdrawn
  - 5.3. Actual volume of concrete placed

**Replace item 2 in the list in the 1st paragraph of section 49-3.02A(3)(g) with:**

01-20-12

2. Be sealed and signed by an engineer who is registered as a civil engineer in the State. This requirement is waived for either of the following conditions:
  - 2.1. The proposed mitigation will be performed under the current Department-published version of *ADSC Standard Mitigation Plan 'A' - Basic Repair* without exception or modification.
  - 2.2. The Engineer determines that the rejected pile does not require mitigation due to structural, geotechnical, or corrosion concerns, and you elect to repair the pile using the current Department-published version of *ADSC Standard Mitigation Plan 'B' - Grouting Repair* without exception or modification.

**Replace item 1 in the list in the 1st paragraph of section 49-3.02A(4)(d)(ii) with:**

01-20-12

1. Inspection pipes must be schedule 40 PVC pipe complying with ASTM D 1785 with a nominal pipe size of 2 inches. Watertight PVC couplers complying with ASTM D 2466 are allowed to facilitate pipe lengths in excess of those commercially available. Log the location of the inspection pipe couplers with respect to the plane of pile cutoff.

**Add to section 49-3.02A(4)(d)(iv):**

01-20-12

If the Engineer determines it is not feasible to use one of ADSC's standard mitigation plans to mitigate the pile, schedule a meeting and meet with the Engineer before submitting a nonstandard mitigation plan.

The meeting attendees must include your representatives and the Engineer's representatives involved in the pile mitigation. The purpose of the meeting is to discuss the type of pile mitigation acceptable to the Department.

Provide the meeting facility. The Engineer conducts the meeting.

**Replace the 1st paragraph of section 49-3.02B(5) with:**

01-20-12

Grout used to backfill casings must comply with section 50-1.02C, except:

1. Grout must consist of cementitious material and water, and may contain an admixture if authorized. Cementitious material must comply with section 90-1.02B, except SCMs are not required. The minimum cementitious material content of the grout must not be less than 845 lb/cu yd of grout.
2. Aggregate must be used to extend the grout as follows:





Elastomeric bearing pads must comply with section 51-3.

Reinforcement for the following concrete structures must comply with section 52:

1. Sound wall footings
2. Sound wall pile caps
3. Barrier slabs
4. Junction structures
5. Minor structures
6. PC concrete members

You may use RSC for a concrete structure only where the specifications allow the use of RSC.

**Add to section 51-1.03C(2)(c)(i):**

04-20-12

Permanent steel deck forms are only allowed where shown or if specified as an option in the special provisions.

**Replace the 3rd paragraph of section 51-1.03C(2)(c)(ii) with:**

04-20-12

Compute the physical design properties under AISI's *North American Specification for the Design of Cold-Formed Steel Structural Members*.

**Replace the 8th paragraph of section 51-1.03D(1) with:**

10-19-12

Except for concrete placed as pipe culvert headwalls and endwalls, slope paving and aprons, and concrete placed under water, consolidate concrete using high-frequency internal vibrators within 15 minutes of placing concrete in the forms. Do not attach vibrators to or hold them against forms or reinforcing steel. Do not displace reinforcement, ducts, or prestressing steel during vibrating.

**Add to section 51-1.03E(5):**

08-05-11

Drill the holes without damaging the adjacent concrete. If reinforcement is encountered during drilling before the specified depth is attained, notify the Engineer. Unless coring through the reinforcement is authorized, drill a new hole adjacent to the rejected hole to the depth shown.

**Replace "Reserved" in section 51-1.03F(5)(b) with:**

04-20-12

**51-1.03F(5)(b)(i) General**

Except for bridge widenings, texture the bridge deck surfaces longitudinally by grinding and grooving or by longitudinal tining.

10-19-12

For bridge widenings, texture the deck surface longitudinally by longitudinal tining.

04-20-12

In freeze-thaw areas, do not texture PCC surfaces of bridge decks.

**51-1.03F(5)(b)(ii) Grinding and Grooving**

When texturing the deck surface by grinding and grooving, place a 1/4 inch of sacrificial concrete cover on the bridge deck above the finished grade shown. Place items to be embedded in the concrete based

on the final profile grade elevations shown. Construct joint seals after completing the grinding and grooving.

Before grinding and grooving, deck surfaces must comply with the smoothness and deck crack treatment requirements.

Grind and groove the deck surface as follows:

1. Grind the surface to within 18 inches of the toe of the barrier under section 42-3. Grinding must not reduce the concrete cover on reinforcing steel to less than 1-3/4 inches.
2. Groove the ground surfaces longitudinally under section 42-2. The grooves must be parallel to the centerline.

#### **51-1.03F(5)(b)(iii) Longitudinal Tining**

When texturing the deck surface by longitudinal tining, perform initial texturing with a burlap drag or broom device that produces striations parallel to the centerline. Perform final texturing with spring steel tines that produce grooves parallel with the centerline.

The tines must:

1. Be rectangular in cross section
2. Be from 3/32 to 1/8 inch wide on 3/4-inch centers
3. Have enough length, thickness, and resilience to form grooves approximately 3/16 inch deep

Construct grooves to within 6 inches of the layout line of the concrete barrier toe. Grooves must be from 1/8 to 3/16 inch deep and 3/16 inch wide after concrete has hardened.

For irregular areas and areas inaccessible to the grooving machine, you may hand construct grooves. Hand-constructed grooves must comply with the specifications for machine-constructed grooves.

Tining must not cause tearing of the deck surface or visible separation of coarse aggregate at the surface.

#### **Replace the paragraphs of section 51-1.04 with:**

10-19-12

If concrete involved in bridge work is not designated by type and is not otherwise paid for under a separate bid item, the concrete is paid for as structural concrete, bridge.

The payment quantity for structural concrete includes the volume in the concrete occupied by bar reinforcing steel, structural steel, prestressing steel materials, and piling.

The payment quantity for seal course concrete is the actual volume of seal course concrete placed except the payment quantity must not exceed the volume of concrete contained between vertical planes 1 foot outside the neat lines of the seal course shown. The Department does not adjust the unit price for an increase or decrease in the seal course concrete quantity.

Structural concrete for pier columns is measured as follows:

1. Horizontal limits are vertical planes at the neat lines of the pier column shown.
2. Bottom limit is the bottom of the foundation excavation in the completed work.
3. Upper limit is the top of the pier column concrete shown.

The payment quantity for drill and bond dowel is determined from the number and depths of the holes shown.

#### **Replace "SSPC-QP 3" in the 1st paragraph of section 51-2.02A(2) with:**

10-19-12

AISC-420-10/SSPC-QP 3

**Replace the 2nd and 3rd paragraphs of section 51-2.02B(3)(b) with:**

04-20-12

Concrete saws for cutting grooves in the concrete must have diamond blades with a minimum thickness of 3/16 inch. Cut both sides of the groove simultaneously for a minimum 1st pass depth of 2 inches. The completed groove must have:

1. Top width within 1/8 inch of the width shown or ordered
2. Bottom width not varying from the top width by more than 1/16 inch for each 2 inches of depth
3. Uniform width and depth

Cutting grooves in existing decks includes cutting any conflicting reinforcing steel.

**Replace the 2nd paragraph of section 51-2.02E(1)(e) with:**

08-05-11

Except for components in contact with the tires, the design loading must be the AASHTO LRFD Bridge Design Specifications Design Truck with 100 percent dynamic load allowance. Each component in contact with the tires must support a minimum of 80 percent of the AASHTO LRFD Bridge Design Specifications Design Truck with 100 percent dynamic load allowance. The tire contact area must be 10 inches measured normal to the longitudinal assembly axis by 20 inches wide. The assembly must provide a smooth-riding joint without slapping of components or tire rumble.

**Add between the 1st and 2nd paragraphs of section 51-4.01A:**

10-19-12

Prestressing concrete members must comply with section 50.

**Delete the 2nd paragraph of section 51-4.01A.**

04-20-12

**Replace the 3rd paragraph of section 51-4.01C(2) with:**

04-20-12

For segmental or spliced-girder construction, shop drawings must include the following additional information:

1. Details showing construction joints or closure joints
2. Arrangement of bar reinforcing steel, prestressing tendons, and pressure-grouting pipe
3. Materials and methods for making closures
4. Construction joint keys and surface treatment
5. Other requested information

For segmental girder construction, shop drawings must include concrete form and casting details.

10-19-12

**Delete the 1st and 2nd paragraphs of section 51-4.02A.**

**Replace the 3rd paragraph of section 51-4.02B(2) with:**

04-20-12

For segmental or spliced-girder construction, materials for construction joints or closure joints at exterior girders must match the color and texture of the adjoining concrete.







**Replace "SSPC-CS 23.00" at each occurrence in section 59 with:**

10-19-12

SSPC-CS 23.00/AWS C 2.23M/NACE no. 12

**Replace "SSPC-QP 3 or AISC SPE, Certification P-1 Enclosed" in item 3 in the list in the 1st paragraph of section 59-2.01D(1) with:**

10-19-12

AISC-420-10/SSPC-QP 3 (Enclosed Shop)

**Replace the paragraphs in section 59-2.03A with:**

10-19-12

Clean and paint all exposed structural steel and other metal surfaces.

You must provide enclosures for cleaning and painting structural steel. Cleaning and painting of new structural steel must be performed in an Enclosed Shop as defined in AISC-420-10/SSPC-QP 3. Maintain atmospheric conditions inside enclosures within specified limits.

Except for blast cleaning within closed buildings, perform blast cleaning and painting during daylight hours.

**Add to section 59-2.03C:**

10-19-12

**59-2.03C(3) Moisture-Cured Polyurethane Coating**

Reserved

**Replace item 1 in the list in the 2nd paragraph of section 59-2.03C(1) with:**

10-19-12

1. Apply a stripe coat of undercoat paint on all edges, corners, seams, crevices, interior angles, junctions of joining members, weld lines, and similar surface irregularities. The stripe coat must completely hide the surface being covered. If spot blast cleaning portions of the bridge, apply the stripe coat of undercoat paint before each undercoat and follow with the undercoat as soon as practical. If removing all existing paint from the bridge, apply the undercoat first as soon as practical and follow with the stripe coat of undercoat paint for each undercoat.

**Add to section 59-2.03C(2)(a):**

10-19-12

Coatings for new structural steel must comply with the requirements shown in the following table:

**Zinc Coating System for New Structural Steel**

Description	Coating	Dry film thickness (mils)
All surfaces:		
Undercoat	Inorganic zinc primer, AASHTO M 300 Type I or II	4–8
Finish coat <sup>a</sup>	Exterior grade latex, 2 coats	2 minimum each coat, 4–8 total
Total thickness, all coats		8–14

<sup>a</sup>If no finish coats are described, a final coat of inorganic zinc primer is required

Coatings for existing structural steel must comply with the requirements shown in the following table:







**Replace the 3rd and 4th paragraphs of section 80-2.02F with:**

10-19-12

Each staple used to fasten barbed wire and wire mesh fabric to wood posts must:

1. Comply with ASTM F 1667
2. Be at least 1-3/4 inches long
3. Be manufactured from 9-gage galvanized wire

Wire ties used to fasten barbed wire and wire mesh to metal posts must be at least 11-gage galvanized wire complying with ASTM F 626. Clips and hog rings used for metal posts must be at least 9-gage galvanized wire complying with ASTM F 626.

**Replace the 8th through 14th paragraphs of section 80-2.03 with:**

10-19-12

Attach the wire mesh and barbed wire to each post.

Securely fasten tension wires to wood posts. Make a single or double loop around each post at each attachment point and staple the wire to the post. Use wire ties, hog rings, or wire clips to fasten the wires to the metal posts.

Connect each wood brace to its adjacent post with a 3/8 by 4-inch steel dowel. Twist the tension wires until the installation is rigid.

Stretch barbed wire and wire mesh fabric and fasten to each wood or steel end, corner, or gate post. Apply tension according to the manufacturer's instructions using a mechanical stretcher or other device designed for such use. If no tension is specified by the manufacturer, use 250 pounds for the required tension. Evenly distribute the pull over the longitudinal wires in the wire mesh such that no more than 50 percent of the original depth of the tension curves is removed. Do not use a motorized vehicle, truck, or tractor to stretch the wire.

Attach barbed wire and wire mesh fabric to the private-property side of posts. On curved alignments, place the wire mesh and barbed wire on the face of the post against which the normal pull of the wire mesh and wire will be exerted. Terminate the wire mesh and barbed wire at each end, corner, pull, and gate post in the new fence line. Attach wire mesh and barbed wire to each wood or steel end, corner, pull, or gate post by wrapping each horizontal strand around the post and tying it back on itself with at least 4 tightly-wound wraps.

At line posts, fasten the wire mesh to the post at the top and bottom and at intermediate points not exceeding 10 inches apart. Fasten each line of barbed wire to each line post. Use wire ties or clips to fasten the wires to metal posts under the post manufacturer's instructions. Drive staples crosswise with the grain of the wood and pointed slightly downward. Drive staples just short of actual contact with the wires to allow free longitudinal movement of those wires and to prevent damage to the wire's protective coating. Secure all wires to posts to maintain horizontal alignment.

Splices in barbed wire and wire mesh are allowed provided there are no more than 2 splices per 50 feet of fence. Use commercially-available galvanized mechanical wire splices or a wire splice created by tying off wire. Install mechanical wire splices with a tool designed for that purpose under the manufacturer's instructions. Tie off the wire as follows:

1. Carry the ends of each wire 3 inches past the tied-off knot location and wrap around the wire for at least 6 turns in opposite directions.
2. Remove the splice tool and close the space by pulling the end of the wires together.
3. Cut the unused ends of the wire close and neat.

**Add to "≤ 6" in the table in the 4th paragraph of section 80-3.02B:**

10-19-12

feet



## 86 ELECTRICAL SYSTEMS

10-19-12

Replace section 86-2.06 with:

01-20-12

### 86-2.06 PULL BOXES

#### 86-2.06A General

##### 86-2.06A(1) Cover Marking

Marking must be clearly defined, uniform in depth, and parallel to either the long or short sides of the cover.

Marking letters must be 1 to 3 inches high.

Before galvanizing steel or cast iron cover, apply marking by one of the following methods:

1. Use cast iron strip at least 1/4 inch thick with letters raised a minimum of 1/16 inch. Fasten strip to cover with 1/4-inch flathead stainless steel machine bolts and nuts. Peen bolts after tightening.
2. Use sheet steel strip at least 0.027 inch thick with letters raised a minimum of 1/16 inch. Fasten strip to cover by spot welding, tack welding, or brazing, with 1/4-inch stainless steel rivets or 1/4-inch roundhead stainless steel machine bolts and nuts. Peen bolts after tightening.
3. Bead weld the letters on cover such that the letters are raised a minimum of 3/32 inch.

##### 86-2.06A(2) Installation and Use

Space pull boxes no more than 200 feet apart. You may install additional pull boxes to facilitate the work.

You may use a larger standard size pull box than that shown on the plans or specified.

A pull box in ground or sidewalk area must be installed as follows:

1. Embed bottom of the pull box in crushed rock.
2. Place a layer of roofing paper on the crushed rock.
3. Place grout over the layer of roofing paper. Grout must be 0.50 to 1 inch thick and sloped toward the drain hole.
4. Make a 1-inch drain hole in the center of the pull box through the grout and roofing paper.
5. Place grout between the pull box and the pull box extension, and around conduits.

The top of the pull box must be flush with the surrounding grade or the top of an adjacent curb, except in unpaved areas where the pull box is not immediately adjacent to and protected by a concrete foundation, pole, or other protective construction. Place the pull box 1-1/4 inches above the surrounding grade. Where practical, place a pull box shown in the vicinity of curbs or adjacent to a standard on the side of the foundation facing away from traffic. If a pull box is installed in a sidewalk area, adjust the depth of the pull box so that the top of the pull box is flush with the sidewalk.

Reconstruct the sump of an existing pull box if disturbed by your activities. Remove old grout and replace with new if the sump was grouted.

#### 86-2.06B Non-Traffic-Rated Pull Boxes

Reserved

#### 86-2.06C Traffic Pull Boxes

Traffic pull box and cover must comply with ASTM C857, "Standard Practice for Minimum Structural Design Loading for Underground Precast Concrete Utility Structures," for HS20-44 loading. You must be able to place the load anywhere on the box and cover for 1 minute without causing cracks or permanent deformations.

Frame must be anchored to the box with 1/4 by 2-1/4 inch concrete anchors. Four concrete anchors must be included for No. 3-1/2(T) pull box; one placed in each corner. Six concrete anchors must be included for No. 5(T) and No. 6(T) pull boxes; one placed in each corner and one near the middle of each of the longer sides.



Replace the table in the 1st paragraph of section 88-1.02G with:

01-20-12

**Sediment Filter Bag**

Property	Test	Values	
		Woven	Nonwoven
Grab breaking load, lb, 1-inch grip min, in each direction	ASTM D 4632	200	250
Apparent elongation, percent min, in each direction	ASTM D 4632	10	50
Water flow rate, gal per minute/sq ft min and max average roll value	ASTM D 4491	100-200	75-200
Permittivity, sec <sup>-1</sup> min	ASTM D 4491	1.0	1.0
Apparent opening size, inches max average roll value	ASTM D 4751	0.023	0.012
Ultraviolet resistance, % min retained grab breaking load, 500 hr.	ASTM D 4355	70	70

Replace the table in the 1st paragraph of section 88-1.02H with:

01-20-12

**Temporary Cover**

Property	Test	Values	
		Woven	Nonwoven
Grab breaking load, lb, 1-inch grip min, in each direction	ASTM D 4632	200	200
Apparent elongation, percent min, in each direction	ASTM D 4632	15	50
Water flow rate, gal per minute/sq ft min and max average roll value	ASTM D 4491	4-10	80-120
Permittivity, sec <sup>-1</sup> min	ASTM D 4491	0.05	1.0
Apparent opening size, inches max average roll value	ASTM D 4751	0.023	0.012
Ultraviolet resistance, % min retained grab breaking load, 500 hr.	ASTM D 4355	70	70

Replace section 88-1.02P with:

10-19-12

**88-1.02P Biaxial Geogrid**

Geosynthetics used for biaxial geogrid must be a punched and drawn polypropylene material formed into an integrally formed biaxial grid. When tested under the referenced test methods, properties of biaxial geogrid must have the values shown in the following table:



