

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

3

A5

CONSTRUCTION ON STATE HIGHWAY IN  
BUTTE COUNTY NEAR CHICO FROM POPLAR STREET TO ROUTE 99/32 SEPARATION  
In District 03 on Route 32

UNDER

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Notice to Bidders and Special Provisions dated February 16, 2016  
Project plans approved November 2, 2015  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 03-1F9904

03-But-32-9.5/10.2

Project ID 0300020426

FEDERAL-AID PROJECT  
ACNHP-P032(044)

Bids open Wednesday, March 16, 2016

Dated February 16, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9904 Project ID: 0300020426

Bidder Name: Franklin Construction, Inc.

Bidder ID: VC0000101555

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder Franklin Construction, Inc.

Business Street Address 217 Flume Street, Suite 200

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Chico, CA, 95928

Telephone No: AREA CODE 530-343-9600

Fax No: AREA CODE 530-343-4245

Contractor License No. 567469

Public Works Contractor Registration No. 1000004305

Checksum D815CCFD

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
03-04-16 Revise Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Clark Gardner AND

TITLE OF PERSON SIGNING: Vice President

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BY (Authorized signature)

DATE SIGNED( Do not type)

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BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	1,600.00
2	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	6,000.00
3	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	136,000.00
4	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	11,000.00
5	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	2,500.00
6	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LUMP SUM LS	LUMP SUM	1,600.00
7	130310	RAIN EVENT ACTION PLAN	8.0 EA	500.00	4,000.00
8	130320	STORM WATER SAMPLING AND ANALYSIS DAY	14.0 EA	100.00	1,400.00
9	130330	STORM WATER ANNUAL REPORT	2.0 EA	100.00	200.00
10	130505	MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)	6.0 EA	450.00	2,700.00
11	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	1,740.0 SQYD	2.50	4,350.00
12	130570	TEMPORARY COVER	1,740.0 SQYD	1.35	2,349.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130610	TEMPORARY CHECK DAM	200.0 LF	8.50	1,700.00
14	130620	TEMPORARY DRAINAGE INLET PROTECTION	29.0 EA	220.00	6,380.00
15	130640	TEMPORARY FIBER ROLL	1,200.0 LF	4.00	4,800.00
16	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	2,000.00
17	140003	ASBESTOS COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	1,350.00
18	141120	TREATED WOOD WASTE	480.0 LB	1.00	480.00
19	030612	REMOVE CULVERT (ASBESTOS CEMENT PIPE)	19.0 LF	189.00	3,591.00
20	150715	REMOVE THERMOPLASTIC PAVEMENT MARKING	790.0 SQFT	3.00	2,370.00
21	150742	REMOVE ROADSIDE SIGN	9.0 EA	84.00	756.00
22	150809	REMOVE CULVERT (LF)	79.0 LF	17.00	1,343.00
23	150820	REMOVE INLET	13.0 EA	1,800.00	23,400.00
24	152320	RESET ROADSIDE SIGN	13.0 EA	205.00	2,665.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25(F)	153121 (CY)	REMOVE CONCRETE	326.0 CY	152.00	49,552.00
26	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	30,000.00
27	170101	DEVELOP WATER SUPPLY	LUMP SUM LS	LUMP SUM	1,000.00
28	190101	ROADWAY EXCAVATION	1,180.0 CY	186.00	219,480.00
29	200002	ROADSIDE CLEARING	LUMP SUM LS	LUMP SUM	2,000.00
30	205035	WOOD MULCH	270.0 CY	68.00	18,360.00
31	390095	REPLACE ASPHALT CONCRETE SURFACING	20.0 CY	1,060.00	21,200.00
32	390132	HOT MIX ASPHALT (TYPE A)	490.0 TON	176.00	86,240.00
33	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	46.0 SQYD	25.00	1,150.00
34	397005	TACK COAT	0.5 TON	1,300.00	650.00
35(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	20.0 CY	1,870.00	37,400.00
36	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	14.0 SQFT	13.00	182.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	566011	ROADSIDE SIGN - ONE POST	8.0 EA	280.00	2,240.00
38	030613	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	22.0 SQFT	13.50	297.00
39	030614	RETROREFLECTIVE SHEETING (TYPE XI)	22.0 SQFT	5.50	121.00
40(F)	620800	CONCRETE BACKFILL (PIPE TRENCH)	6.0 CY	340.00	2,040.00
41	030615	8" REINFORCED CONCRETE PIPE	3.7 LF	480.00	1,776.00
42	650010	12" REINFORCED CONCRETE PIPE	150.0 LF	157.00	23,550.00
43	650026	36" REINFORCED CONCRETE PIPE	3.3 LF	2,100.00	6,930.00
44(F)	682008	PERMEABLE MATERIAL (BLANKET)	1.5 CY	1,360.00	2,040.00
45	700617	DRAINAGE INLET MARKER	15.0 EA	72.00	1,080.00
46	703233	GRATED LINE DRAIN	33.0 LF	197.00	6,501.00
47	707217	36" PRECAST CONCRETE PIPE MANHOLE	3.6 LF	1,900.00	6,840.00

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9904 Project ID: 0300020426

Bidder Name: Franklin Construction, Inc.

Bidder ID: VC0000101555

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
48	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	21.0 CY	583.00	12,243.00
49	731516	MINOR CONCRETE (DRIVEWAY)	252.0 CY	545.00	137,340.00
50	731627	MINOR CONCRETE (CURB, SIDEWALK AND CURB RAMP)	780.0 CY	468.00	365,040.00
51	733000	PRE/POST CONSTRUCTION SURVEYS	90.0 EA	380.00	34,200.00
52 (F)	750001	MISCELLANEOUS IRON AND STEEL	4,945.0 LB	2.00	9,890.00
53	840516	THERMOPLASTIC PAVEMENT MARKING (ENHANCED WET NIGHT VISIBILITY)	790.0 SQFT	5.00	3,950.00
54	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LUMP SUM LS LS LS	LUMP SUM	3,500.00
55	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	26,000.00
Total Bid					\$1,592,326.00

TOTAL BID

TOTAL BID FOR BID ITEMS:		\$1,337,326.00
TOTAL BID FOR TIME: 85	X \$3,000.00 =	\$255,000.00
WORKING DAYS BID (Do not bid less than 85 days and not more than 120 D		
TOTAL BID FOR COMPARISON (COST PLUS TIME):		\$1,592,326.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9904 Project ID: 0300020426

Bidder Name: Franklin Construction, Inc.

Bidder ID: VC0000101555

DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Franklin Construction, Inc.

List this sub? Yes

1) Business Name A.C. Dike

Location City Lincoln State CA

California Contractor License Number 407417

Public Works Contractor Registration Number 10000005929

Portion of Work Subcontracted:

Item %	Description
32 18	HOT MIX ASPHALT (TYPE A) (Placment only)
55 7	MOBILIZATION

List this sub? Yes

2) Business Name Chrisp Company

Location City Woodland State CA

California Contractor License Number 374600

Public Works Contractor Registration Number 1000000306

Portion of Work Subcontracted:

Item %	Description
20 100	REMOVE THERMOPLASTICE PAVEMENT MARKINGS
53 100	THERMOPLASITC PAVEMENT MARKINGS
55 9	MOBILIZATION

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9904 Project ID: 0300020426

Bidder Name: Franklin Construction, Inc.

Bidder ID: VC0000101555

DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Franklin Construction, Inc.

List this sub? Yes

4) Business Name M&S Environmental Landscapes, Inc.

Location City Redding State CA

California Contractor License Number 751931  
Public Works Contractor Registration Number 1000010084  
Portion of Work Subcontracted:

Item %	Description
10 100	TEMPORARY MOVE-IN/MOVE-OUT (EROSION CONTROL)
11 76	TEMPORARY HYDRAULIC MULCH (placement only)
30 96	WOOD MULCH

List this sub? Yes

5) Business Name Omni Pipelines, Inc.  
Location City Red Bluff State CA  
California Contractor License Number 405642  
Public Works Contractor Registration Number 1000001355  
Portion of Work Subcontracted:

Item %	Description
19 95	REMOVE CULVERT (ASBESTOS CEMENT PIPE)
22 94	REMOVE CULVERT
23 94	REMOVE INLET
35 95	MINOR CONCRETE ( MINOR STRUCTURE)
40 96	CONCRETE BACKFILL (PIPE TRENCH)

List this sub? Yes

6) Business Name Continued - Omni Pipelines, Inc.  
Location City Red Bluff State CA  
California Contractor License Number 405642  
Public Works Contractor Registration Number 1000001355  
Portion of Work Subcontracted:

Item %	Description
41 96	8" REINFORCED CONCRETE PIPE
42 95	12" REINFORCE CONCRETE PIPE
43 95	36" REINFORECED CONCRETE PIPE
44 96	PERMEABLE MATERIAL (BLANKET)
47 95	PRECAST CONCRETE PIPE MANHOLE

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9904 Project ID: 0300020426

Bidder Name: Franklin Construction, Inc.

Bidder ID: VC0000101555

DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Franklin Construction, Inc.

List this sub? Yes

- 7) Business Name Continued - Omni Pipelines, Inc.  
Location City Red Bluff State CA  
California Contractor License Number 405642  
Public Works Contractor Registration Number 1000001355  
Portion of Work Subcontracted:

Item %	Description
52 93	MISC. IRON & PIPE
55 69	MOBILIZATION

List this sub? Yes

- 8) Business Name Rolls Anderson Rolls  
Location City Chico State CA  
California Contractor License Number N/A  
Public Works Contractor Registration Number 1000029385  
Portion of Work Subcontracted:

Item %	Description
51 100	PRE/POST CONSTRUCTION SURVEYS

List this sub? Yes

- 9) Business Name Statewide Traffice Safety & Signs  
Location City Redding State CA  
California Contractor License Number 975518  
Public Works Contractor Registration Number 1000001109  
Portion of Work Subcontracted:

Item %	Description
2 93	CONSTRUCTION AREA SIGNS
18 100	TREATED WOOD WASTE
21 95	REMOVE ROAD SIGN
24 96	RESTE ROADSIDE SIGN
36 100	FURNISH SINGLE SHEET ALUM. SIGN

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9904 Project ID: 0300020426

Bidder Name: Franklin Construction, Inc.

Bidder ID: VC0000101555

DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Franklin Construction, Inc.

List this sub? Yes

10) Business Name Continued - Statewide Traffic Safety & Signs

Location City Redding State CA

California Contractor License Number 975518

Public Works Contractor Registration Number 1000001109

Portion of Work Subcontracted:

Item %	Description
37 98	Roadside Sign- One Post
38 96	FURNISH SIGNAL SHEET ALUM SIGN ( FOR RETRO SHEETING)
39 100	RETROREFLECTIVE SHEETING (TYPE X)

List this sub? Yes

11) Business Name Wards Concrete

Location City Anderson State CA

California Contractor License Number 348143

Public Works Contractor Registration Number 1000005562

Portion of Work Subcontracted:

Item %	Description
48 95	Minor Concrete, Misc Const
49 95	Minor Concrete, Driveway
50 95	Minor Concrete, Curb, Sidewalk, curb ramp
46 38	Grated line drain install



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: Franklin Construction, Inc.

Bidder ID: VC0000101555

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9904 Project ID: 0300020426

Bidder Name: Franklin Construction, Inc.

Bidder ID: VC0000101555

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: Yes Certification number? :37518

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9904 Project ID: 0300020426

Bidder Name: Franklin Construction, Inc.

Bidder ID: VC0000101555

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 No

- 1. Type of Federal Action:
- 2. Status of Federal Action:
- 3. Report Type:

For Material Change Only:  
 Year  
 Quarter  
 Date of last report

- 4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

- 5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

- 6. Federal Department/Agency:

- 7. Federal Program Name/Description:

CFDA Number, if applicable

- 8. Federal Action Number, if known:

- 9. Award amount, if known (\$):

- 10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

- b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

- 11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:

Title:

Telephone No.:

DATE:

Federal Use Only:

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Franklin Construction, Inc., proposed subcontractor All listed, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

N/A

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

N/A

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Butte

Name Clark Gardner,

being first duly sworn, deposes and says that he or she is

Vice President of

Franklin Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

N/A

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

N/A

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN BUTTE COUNTY NEAR CHICO FROM POPLAR STREET TO ROUTE 99/32 SEPARATION In District 03 on Route 32 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 03-16-16.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal \_\_\_\_\_

Surety \_\_\_\_\_

By \_\_\_\_\_

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION

Contract No. 03-1F9904

**BIDDER'S BOND**

DES-OE-0102.3 (REV 3/2008)

Bond No. N/A

We Franklin Construction Inc.

as Principal, and

Continental Casualty Company

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for 03-But-32-9.5/10.2 Contract No. 03-1F9904  
*(Copy here the exact description of work, including location, as it appears on the proposal)*  
ADA Compliance Curb Ramps, Signals, Sidewalks, Cross Slopes, Etc.  
for which bids are to be opened at 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816  
*(Insert place where bids will be opened)*  
on March 16th, 2016  
*(Insert date of bid opening)*

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: March 11th, 2016

Correspondence or claims relating to this bond should be sent to the surety at the following address: Jason Reid  
8880 Cal Center Dr., Suite 410  
Sacramento, CA 95826

Franklin Construction Inc.  
By: [Signature]  
*Principal* Clark Gardner, Vice President  
Continental Casualty Company  
*Surety*  
By: [Signature]  
*David W. Garrese, Attorney-in-Fact*

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }  
County of \_\_\_\_\_ }  
On this \_\_\_\_\_ before me, \_\_\_\_\_  
*Date* *Here Insert Name and Title of the Officer*  
personally appeared \_\_\_\_\_  
*Name(s) of Signer(s)*

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL) Signature \_\_\_\_\_  
*Signature of Notary Public*

### ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of Sacramento

On March 11th, 2016 before me, Margaret Mary Catarella, Notary Public  
(insert name and title of the officer)

personally appeared David W. Garese  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are-  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same in  
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the  
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing  
paragraph is true and correct.

WITNESS my hand and official seal.



Signature Margaret Mary Catarella (Seal)

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That Continental Casualty Company, an Illinois insurance company, National Fire Insurance Company of Hartford, an Illinois insurance company, and American Casualty Company of Reading, Pennsylvania, a Pennsylvania insurance company (herein called "the CNA Companies"), are duly organized and existing insurance companies having their principal offices in the City of Chicago, and State of Illinois, and that they do by virtue of the signatures and seals herein affixed hereby make, constitute and appoint

David W Garese, Audrey C Skeen, Brooke A Skeen, Individually

of Sacramento, CA, their true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on their behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind them thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of their insurance companies and all the acts of said Attorney, pursuant to the authority hereby given is hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law and Resolutions, printed on the reverse hereof, duly adopted, as indicated, by the Boards of Directors of the insurance companies.

In Witness Whereof, the CNA Companies have caused these presents to be signed by their Vice President and their corporate seals to be hereto affixed on this 13th day of October, 2015.



Continental Casualty Company  
National Fire Insurance Company of Hartford  
American Casualty Company of Reading, Pennsylvania

*Paul T. Bruflat*  
Paul T. Bruflat Vice President

State of South Dakota, County of Minnehaha, ss:

On this 13th day of October, 2015, before me personally came Paul T. Bruflat to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of Continental Casualty Company, an Illinois insurance company, National Fire Insurance Company of Hartford, an Illinois insurance company, and American Casualty Company of Reading, Pennsylvania, a Pennsylvania insurance company described in and which executed the above instrument; that he knows the seals of said insurance companies; that the seals affixed to the said instrument are such corporate seals; that they were so affixed pursuant to authority given by the Boards of Directors of said insurance companies and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said insurance companies.



My Commission Expires February 12, 2021

*S. Eich*  
S. Eich Notary Public

CERTIFICATE

I, D. Bult, Assistant Secretary of Continental Casualty Company, an Illinois insurance company, National Fire Insurance Company of Hartford, an Illinois insurance company, and American Casualty Company of Reading, Pennsylvania, a Pennsylvania insurance company do hereby certify that the Power of Attorney herein above set forth is still in force, and further certify that the By-Law and Resolution of the Board of Directors of the insurance companies printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said insurance companies this 11th day of March, 2016.



Continental Casualty Company  
National Fire Insurance Company of Hartford  
American Casualty Company of Reading, Pennsylvania

*D. Bult*  
D. Bult Assistant Secretary